

**Lifting sections from a  
hypersurface, after  
Takayama**

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June 20, 2006

Recall the theorem we want to prove.

**Key Theorem.** *Let  $X$  be a smooth complex projective variety, let  $V \subset X$  be an irreducible subvariety and  $\mu : X' \rightarrow X$  a modification with  $V \not\subset \mu(\text{Exc}(\mu))$ . Let  $V' \subset X'$  be the strict transform of  $V$ . Let  $D$  be a divisor on  $X$  and  $\mu^*D = A' + E'$  a decomposition such that :*

*a)  $A'$  is an ample  $\mathbb{Q}$ -divisor ;*

*b)  $E'$  is an effective  $\mathbb{Q}$ -divisor such that  $V'$  is a maximal lc center \* for the pair  $(X', E')$ .*

*Then :* 
$$\text{vol}_{X|V}(K_X + D) \geq \text{vol}(K_{V'}).$$

\*That is an irreducible component of  $\text{Nklt}(X', E')$  such that at its general point the pair is log-canonical.

The proof of this result will make use of an extension theorem.

**Extension Theorem.** *Let  $X$  be a smooth complex projective variety and  $H \subset X$  a smooth irreducible hypersurface. Let  $D \sim A + E$  a big divisor on  $X$  with*

- *$A$  a nef and big  $\mathbb{Q}$ -divisor such that  $H \notin \mathbf{B}_+(A)$  ;*
- *$E$  an effective  $\mathbb{Q}$ -divisor whose support does not contain  $H$  and such that the pair  $(H, E|_H)$  is klt.*

*Then the restriction*

$$H^0(X, m(K_X + H + D)) \longrightarrow H^0(H, m(K_H + D|_H))$$

*is surjective for all integer  $m > 0$ .*

## Idea of the proof of the Extension Thm. :

Take  $s \in H^0(H, m(K_H + D|_H))$ . Let  $S \subset H$  be the associated divisor. Then construct a divisor  $P := P_s$  on  $X$  such that

$$P|_H = \alpha S + \beta B + E|_H \leq S + \text{klt}$$

with  $\alpha, \beta \in ]0, 1[$ , and  $B$  very ample.

Hence

$$\mathcal{I}_{P|_H} \supset \mathcal{O}_H(-S)$$

so that

$$s \in H^0(H, \mathcal{I}_{P|_H}(m(K_H + D|_H))).$$

Then one uses Nadel vanishing plus the classical Castelnuovo-Mumford argument to deduce that sections in the latter space may be lifted to  $X$ .

## Details of the proof of the Ext. Thm. :

First notice that we may assume  $A$  ample. Indeed, write

$$A = A' + E' = \text{ample} + \text{effective}$$

with  $\text{Supp}(E') \not\supset H$  (here we use  $H \notin \mathbf{B}_+(A)$ ), and

$$D \sim [(1 - \varepsilon)A + \varepsilon A'] + \varepsilon E' + E.$$

Then, for  $0 < \varepsilon \leq 1$ ,

- $(1 - \varepsilon)A + \varepsilon A'$  is ample ;
- the  $\mathbf{Q}$ -divisor  $\varepsilon E' + E$  is effective and its support does not contain  $H$  ;
- the pair  $(H, \varepsilon E'|_H + E|_H)$  is still klt (for  $\varepsilon \ll 1$ .)

Then choose an very ample divisor  $B := V(s_B)$  on  $X$  :  $\text{Supp}(B) \not\supset H$ . Take  $\ell \in \mathbf{N}$  large enough in order to have :

- $A - \frac{m-1}{\ell m} nB$  ample ;
- the pair  $(H, \frac{m-1}{\ell m} nB|_H + E|_H)$  is still klt

Let  $s$  be an element of  $H^0(H, m(K_H + D|_H))$ ,  $S := V(s)$ . For any integer  $r > 0$ , define an ideal sheaf on  $H$  as follows :

$$\mathcal{I}_r = \mathcal{I}_{\frac{r-1}{m}S + E|_H}$$

Since the pair  $(H, E|_H)$  is klt, we have

$$\mathcal{I}_{\ell m} \supset \mathcal{I}_{\ell m + 1} = \mathcal{I}_{\ell S + E|_H} = \mathcal{I}_{E|_H}(-\ell S) = \mathcal{O}_H(-\ell S)$$

So the section  $s^\ell$  vanishes along  $\mathcal{I}_{\ell m}$ , and

$$s^\ell \cdot s_B^n \in H^0(H, \mathcal{I}_{\ell m} \ell m(K_H + D|_H) + nB|_H).$$

We have the

**Lemma.** *For any integer  $r > 0$ , the image of the restriction map :*

$$H^0(r(K_X + H + D) + nB) \longrightarrow H^0(r(K_H + D|_H) + nB|_H)$$

*contains the sections vanishing along  $\mathcal{I}_r$ .*

Assume the Lemma for the moment. Then we can lift the section  $s^\ell \cdot s_B^n$  to  $X$  :

$$\exists t \in H^0(X, m\ell(K_X + H + D) + nB) : t|_H = s^\ell \cdot s_B^n.$$

Set

$$N := (m - 1)(K_X + H + D) + D$$

and

$$P := \frac{m - 1}{\ell m} \operatorname{div}(t) + E.$$

Then, by the choice of  $\ell$ , their difference

$$N - P \sim D - \frac{m - 1}{\ell m} nB - E \sim A - \frac{m - 1}{\ell m} nB$$

is an ample  $\mathbf{Q}$ -divisor. So Nadel's vanishing gives

$$H^1(X, \mathcal{I}_P(K_X + N)) = 0.$$

This easily implies that

(†) the sections of  $H^0(H, m(K_H + D|_H))$  vanishing along  $\mathcal{I}_P$  lift to  $X$ .

Indeed we have  $K_X + N = m(K_X + H + D) - H$ . On the other hand, there exists an ideal sheaf

$$\operatorname{adj}_{H,P} \subset \mathcal{I}_P \subset \mathcal{O}_X$$

such that the following sequence is exact :

$$0 \longrightarrow \mathcal{I}_P(-H) \longrightarrow \text{adj}_{H,P} \longrightarrow \mathcal{I}_{P|H} \longrightarrow 0$$

Tensoring this exact sequence with  $m(K_X + N + H)$ , and using the vanishing above we get a surjection from

$$H^0(X, \text{adj}_{H,P}(m(K_X + H + D)))$$

to

$$H^0(H, \mathcal{I}_{P|H}(m(K_X + H + D))),$$

and (†) is proved.

So we are reduced to prove that our section  $s$  vanishes along  $\mathcal{I}_{P|H}$ . For this notice that

$$\begin{aligned} P|_H &= \left( \frac{m-1}{\ell m} \text{div}(t) + E \right)|_H \\ &= \frac{m-1}{\ell m} \text{div}(s^\ell \cdot s_B^n) + E|_H \\ &= \frac{m-1}{\ell m} (\ell S + nB|_H) + E|_H \\ &\leq S + \left[ \frac{m-1}{\ell m} nB|_H + E|_H \right] = S + \text{klt} \end{aligned}$$

Hence

$$\mathcal{I}_{P|H} \supset \mathcal{O}_H(-S)$$

and, by (†), the proof of the Extension Theorem is proved (modulo the Lemma!).

**Proof of the Lemma :** By induction on  $r$ .

**Base of the induction.** (Nadel vanishing).

Notice that, since  $D + nB - E \sim A + nB$  is ample, we have

$$H^1(X, \mathcal{I}_E(K_X + D + nB)) = 0 \quad (\text{Nadel.})$$

Hence, twisting the exact sequence

$$0 \longrightarrow \mathcal{I}_E(-H) \longrightarrow \text{adj}_{H,E} \longrightarrow \mathcal{I}_{E|_H} \longrightarrow 0$$

by  $K_X + H + D + nB$ , we get a surjection

$$\begin{aligned}
H^0(X, \text{adj}_{H,E}(K_X + H + D + nB)) \\
\rightarrow H^0(H, \mathcal{I}_{E|H}(K_H + D|_H + nB|_H))
\end{aligned}$$

Among the hypotheses of the Extension Theorem we have that  $(H, E|_H)$  is klt, so

$$\mathcal{O}_H = \mathcal{I}_{E|H} \quad (= \mathcal{I}_1),$$

and we are done.

**Inductive step.** (Nadel vanishing+CM).

We want to pass from  $r$  to  $r + 1$ . Let  $|V| \subset |r(K_X + H + D) + nB|$  be the inverse image of the linear system of sections of  $\mathcal{O}_H(r(K_H + D|_H) + nB|_H)$  vanishing along de  $\mathcal{I}_r$ . By the inductive hypothesis, the latter is the restriction  $|V||_H$ .

We start with a

**Claim.** *The sections of*

$H^0(H, (r + 1)(K_H + D|_H) + nB|_H)$   
*vanishing along  $\mathcal{I}_{E|_H;|V||_H}^\dagger$  may be lifted to  $X$ .*

Indeed, using again the adjoint sequence :

$$0 \longrightarrow \mathcal{I}_{E;|V|}(-H) \longrightarrow \text{adj}_{E,H;|V|} \longrightarrow \mathcal{I}_{E|_H;|V||_H} \longrightarrow 0$$

and a Nadel vanishing, we get a surjection

$$\begin{aligned} & H^0(X, \text{adj}_{E,H;|V|}((r + 1)(K_X + H + D) + nB)) \\ \twoheadrightarrow & H^0(H, \mathcal{I}_{E|_H;|V||_H}((r + 1)(K_H + D|_H) + nB|_H)) \end{aligned}$$

and the Claim is proved.

†Recall that

$$\mathcal{I}_{D;|V|} = \mu_* \mathcal{O}_{X'}(K_{X'/X} - [\mu^* D] - F)$$

where  $F \subset X'$  is the fixed part of  $\mu^*|V|$  in a log-resolution of  $|V|$ . In particular, if  $(X, D)$  is klt, then  $K_{X'/X} - [\mu^* D]$  is effective, thus

$$\mathcal{I}_{D;|V|} = \mu_* \mathcal{O}_{X'}(K_{X'/X} - [\mu^* D] - F) \supset \mu_* \mathcal{O}_{X'}(-F) = \mathfrak{b}(|V|)$$

By the Claim, it is sufficient to prove that

$$(\clubsuit) \quad \mathcal{I}_{r+1} \subset \mathcal{I}_{E|_H; |V||_H}$$

In order to do so, recall the following consequence of Nadel's vanishing.

**Corollary.** *Let  $X$  be a smooth complex projective variety,  $D$  an effective  $\mathbb{Q}$ -divisor and  $L$  a divisor on  $X$  such that  $L - D$  is big and nef. Then for any very ample divisor  $A$  on  $X$ , the sheaf*

$$\mathcal{I}_D(K_X + mA + L)$$

*is globally generated for all  $m \geq \dim(X)$ .*

Proof. By the classical Castelnuovo–Mumford argument, a coherent sheaf  $\mathcal{F}$  on  $X$  verifying  $H^i(X, \mathcal{F}(-iA)) = 0$  for all  $i > 0$  is g.g. Then use Nadel to get  $H^i(X, \mathcal{I}_D(K_X + (m - i)A + L)) = 0$  for  $0 < i \leq m$ , and conclude. QED.

As

$$[(r-1)(K_H + D|_H) + D|_H] - \left(\frac{r-1}{m}S + E|_H\right) \sim A|_H$$

is ample and

$$\begin{aligned} \mathcal{I}_r(r(K_H + D|_H) + nB|_H) = \\ \mathcal{I}_r(K_H + [(r-1)(K_H + D|_H) + D|_H] + nB|_H), \end{aligned}$$

the Corollary above implies that

$$\mathcal{I}_r(r(K_H + D|_H) + nB|_H) \text{ is g.g.}$$

In particular

$$\mathcal{I}_r = \mathfrak{b}(|V||_H)$$

But  $E|_H$  is klt, so, as remarked before,

$$\mathfrak{b}(|V||_H) \subset \mathcal{I}_{E|_H; |V||_H}.$$

So we have

$$\mathcal{I}_{r+1} \subset \mathcal{I}_r \subset \mathcal{I}_{E|_H; |V||_H}$$

hence the proof of ( $\clubsuit$ ) ( $\Rightarrow$  the inductive step  $\Rightarrow$  the Lemma  $\Rightarrow$  the Extension Theorem!) is completed. QED.

We now begin the

## **Proof of the Key Theorem :**

**First reduction.** We claim that we may assume  $X = X'$ ,  $\mu = id$  and  $V$  smooth. Indeed take a modification  $\nu : X'' \rightarrow X$  such that the strict transform  $V''$  of  $V$  is smooth. Write

$$\begin{aligned}\nu^*A' &\sim A'' + E'' \\ &= \text{ample} + \nu\text{-exc. effective}\end{aligned}$$

Then  $(\mu \circ \nu)^*D \sim A'' + (E'' + \nu^*E')$ .

By the birational transformation rule

$$\mathcal{I}_{E'} = \nu_*(\mathcal{I}_{\nu^*E'}(K_{X''/X'}))$$

implies that  $V''$  is a maximal lc center for the pair  $(X'', \nu^*E')$ , hence also for the pair  $(X'', E'' + \nu^*E')$ , as  $\text{Supp } E'' \not\supset V''$ .

On the other hand,  $\text{vol}(K_V) = \text{vol}(K_{V''})$  and, by the isomorphism

$$H^0(X'', m(K_{X''} + (\mu \circ \nu)^* D)) \simeq H^0(X, m(K_X + D)),$$

we also have

$$\text{vol}_{X''|V''}(K_{X''} + (\mu \circ \nu)^* D) = \text{vol}_{X|V}(K_X + D).$$

So that the inequality

$$\text{vol}_{X''|V''}(K_{X''} + (\mu \circ \nu)^* D) \geq \text{vol}(K_{V''})$$

and the one we want to prove

$$\text{vol}_{X|V}(K_X + D) \geq \text{vol}(K_V)$$

are equivalent.

**The case**  $\text{codim } V = 1$ . By hypothesis  $V$  is a maximal lc center for  $(X, E)$ . This simply means that  $V$  appears with multiplicity 1 in  $E$ . Let  $\mu : X' \rightarrow X$  a modification such that  $\mu^* E = V' + F$  has simple normal crossings ( $V'$  is the strict transform of  $V$ ). Take an integer  $m_0 > 0$  such that  $m_0(\mu^* A + F - [F])$  has integer coefficients. The support of this

divisor does not contain  $V'$ , so we have an inclusion

$$\begin{aligned} H^0(V', mK_{V'}) & \quad (1) \\ \hookrightarrow H^0(V', m(K_{V'} + (\mu^*A + F - [F])|_{V'})) \end{aligned}$$

for any integer  $m > 0$  divisible by  $m_0$ . Since the pair  $(X', F - [F])$  is klt, applying the Extension Theorem to the divisor  $\mu^*A + F - [F]$  we get a surjection

$$\begin{aligned} H^0(X', m(K_{X'} + \mu^*D - [F])) & \quad (2) \\ \twoheadrightarrow H^0(V', m(K_{V'} + (\mu^*A + F - [F])|_{V'})) \end{aligned}$$

In conclusion we have

$$\begin{aligned} h^0(V, mK_V) & = h^0(V', mK_{V'}) \\ ( (1) + (2) ) & \leq h^0(X'|V', m(K_{X'} + \mu^*D - [F])) \\ & \leq h^0(X'|V', m(K_{X'} + \mu^*D)) \\ & = h^0(X|V, m(K_X + D)) \end{aligned}$$

so the Key Theorem is proved in this case.