

# **Sketch of Takayama's proof**

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We want to prove the following result.

**Theorem 1 (Hacon-McKernan, Takayama)**

*For all  $n$ , there exists a positive constant  $m_n$  such that for any smooth complex projective variety  $X$  of general type of dimension  $n$ , the pluricanonical map  $\varphi_{|mK_X|}$  is birational onto its image, for all  $m \geq m_n$ .*

This result is related to the existence of a uniform lower bound on the volume of the canonical divisor. Indeed, recalling that

$$\text{vol}_X(D) := \limsup_{m \rightarrow +\infty} \frac{h^0(X, mD)}{m^n/n!}$$

we easily deduce the following.

**Corollary.** *For all  $n$ , there exists a positive constant  $v_n$  such that for any smooth complex projective variety  $X$  of general type of dimension  $n$ , we have :*

$$\text{vol}(K_X) \geq v_n.$$

## Theorem 1 $\Rightarrow$ Corollary :

Let  $m_n$  be as in Theorem 1. Let  $\mu : X' \rightarrow X$  be the blow-up along the base locus of  $|mK_X|$ . Then

$$\mu^* mK_X = |M| + F = \text{bpf part} + \text{fixed part.}$$

In particular  $M$  is nef, so  $\text{vol}(M) = M^n$ . In conclusion we have :

$$\begin{aligned} \text{vol}(K_X) &= \frac{\text{vol}(\mu^* m_n K_X)}{m_n^n} \\ &\geq \frac{1}{m_n^n} \text{vol}(M) = \frac{1}{m_n^n} M^n \\ &= \frac{1}{m_n^n} \text{deg } \varphi_{|M|}(X') \geq \frac{1}{m_n^n} \quad QED. \end{aligned}$$

On the other hand, Theorem 1 is a consequence of the following.

**Theorem 2.** *Let  $n$  be a positive integer. Suppose there exists a positive constant  $v$  such that  $\text{vol}(V) \geq v$ , for any smooth complex projective variety  $V$  of general type of dimension  $< n$ . Then, there exists two positive constants  $a := a_n$  and  $b := b_n$  such that for any smooth complex projective variety  $X$  of general type of dimension  $n$ , the rational pluricanonical map  $\varphi_{|mK_X|}$  is birational onto its image, for all  $m \geq a + b/\text{vol}(K_X)^{1/n}$ .*

**Theorem 2  $\Rightarrow$  Theorem 1 :**

By induction on the dimension. Theorem 1 holds for  $n = 1$ . Suppose it holds for  $n - 1$ . Then use the Corollary to deduce the existence of a positive lower bound :

$$\text{vol}(V) \geq v_{n-1}$$

for all varieties of general type  $V$  of dimension  $\leq n - 1$ , i.e. the hypotheses of Theorem 2 are fulfilled.

For the  $n$ -dimensional varieties of general type  $X$  such that the volume is bounded from below, say we have  $\text{vol}(K_X) \geq 1$ , then

$$1 + a + b > a + b/\text{vol}(K_X).$$

For those such that  $\text{vol}(K_X) < 1$ , then *a priori* the quantity  $a + b/\text{vol}(K_X)$  may still be arbitrary big. This does not occur : using Theorem 2 and projecting down, we have that the variety  $X$  is birational to a subvariety of  $\mathbf{P}^{2n+1}$  of degree :

$$\begin{aligned} &\leq \left(1 + a + \frac{b}{\text{vol}(K_X)^{1/n}}\right)^n \text{vol}(K_X) \\ &= [(1 + a) \text{vol}(K_X)^{1/n} + b]^n \leq (1 + a + b)^n. \end{aligned}$$

Such varieties are parametrized by an algebraic variety (the Chow scheme), so thanks to the upper semicontinuity of the plurigenera, their volumes are also bounded from below by a positive constant  $c_n$  (which is not effective!). Hence we may take

$$m_n := 1 + a + \frac{b}{c_n^{1/n}}$$

and the proof is finished QED.

So we are reduced to proving Theorem 2.

### Idea of the proof :

Consider a modification  $\mu : X' \rightarrow X$  such that

$$\mu^* K_X = A' + E' = \text{ample} + \text{effective}$$

is the approximate Zariski decomposition wrt a certain  $\varepsilon$ . Let  $x'_1, x'_2$  two general points on  $X'$ . Then construct an effective  $\mathbf{Q}$ -divisor

$$D'_0 \sim t_0 A', \quad \text{with } t_0 < a + b / \text{vol}(K_X)^{1/n}$$

such that

- (i)  $x'_1, x'_2 \in \text{Nklt}(X, D'_0)$
- (ii)  $x'_1$  is an isolated point in  $\text{Nklt}(X, D'_0)$

Then for all  $m > t_0$  :

$$m\mu^* K_X = (m-t_0)A' + (D'_0 + mE') = \text{ample} + \text{eff},$$

hence by Nadel vanishing :

$$H^1(X', \mathcal{I}_{D'_0+mE'}(K_{X'} + m\mu^*K_X)) = 0$$

so, setting  $V := V(\mathcal{I}_{D'_0+mE'})$

$$H^0(X', K_{X'} + m\mu^*K_X) \twoheadrightarrow H^0(V, (K_{X'} + m\mu^*K_X)|_V).$$

In particular, by (i) and (ii), and the fact that  $x'_1, x'_2 \notin E'$ , there exists a section  $s \in H^0(X', K_{X'} + m\mu^*K_X)$  such that

$$s(x'_1) \neq 0 \text{ and } s(x'_2) = 0.$$

Then, using the isomorphisms

$$\begin{aligned} & H^0(X', K_{X'} + m\mu^*K_X) \\ & \simeq H^0(X, (\mu_*\mathcal{O}_{X'}(K_{X'}/X))((m+1)K_X)) \\ & \simeq H^0(X, (m+1)K_X) \end{aligned}$$

we get a global section of  $\mathcal{O}_X((m+1)K_X)$  separating  $\mu(x'_1)$  and  $\mu(x'_2)$ .

## Details of the Proof of Theorem 2 :

We will proceed by descending induction on  $d \in \{1, \dots, n\}$  to produce an effective  $\mathbf{Q}$ -divisor  $D'_d \sim t_d A'$  such that

### Needed properties :

1.  $x'_1, x'_2 \in \text{Nklt}(X, D'_d)$  ;
2.  $(X', D'_d)$  is lc at a non-empty subset  $I$  of  $\{x'_1, x'_2\}$  ;
3.  $\dim \text{Nklt}(X', D'_d, I) \leq d$ .

For  $d = 0$  we will get a divisor  $D'_0$  exactly as in the idea of the proof outlined before and we will be done.

We start using the approximate Zariski decomposition (with  $\varepsilon = 1/2$ ), that is, we consider a modification  $\mu : X' \rightarrow X$  such that

$$\mu^* K_X = A' + E' = \text{ample} + \text{effective}$$

with

$$A'^d \cdot V' \geq \frac{1}{2^d} \text{vol}_{X|V}(K_X)$$

for all  $d$ -dimensional irreducible subvariety  $V \subset X$  not contained in  $\mathbf{B}_+(K_X)$  ( $V' \subset X'$  denotes the strict transform). In particular

$$A'^n \geq \frac{1}{2^n} \text{vol}(K_X).$$

Take an integer

$$t'_{n-1} > 2n \cdot 2^{\frac{1}{n}} \cdot \text{vol}(K_X)^{-\frac{1}{n}}.$$

Then

$$(t'_{n-1} A')^n \geq \frac{t'^n_{n-1}}{2^n} \text{vol}(K_X) > 2n^n.$$

So, as Catriona explained, there exists an effective  $\mathbf{Q}$ -divisor

$$D'_{n-1} \sim t_{n-1} A' \text{ with } t_{n-1} \leq t'_{n-1}$$

such that  $(X', D'_{n-1})$  is nklt at  $x'_1$  and  $x'_2$  and lc at one of these points, say at  $x'_1$ .

Suppose now that we have constructed an effective  $\mathbb{Q}$ -divisor  $D'_d \sim t_d A'$  verifying the three properties above. Then, again thanks to what Catriona explained, up to slightly modifying  $t_d$ , we may assume that

$$V' := \text{Nklt}(X', D'_d, x'_1) \text{ is irreducible.}$$

As  $x'_1$  is general,  $V'$  is of general type (by the adjunction formula, families of subvarieties not of general type are contained in a countable union of proper subvarieties of  $X'$ ).

Notice that

$$\mu^*(t_d + 1)K_X \sim A' + [D'_d + (t_d + 1)E'].$$

Since  $x'_1, x'_2$  are general, they are not contained in  $E'$ , hence  $V'$  is an irreducible component of  $\text{Nklt}(X', D'_d + (t_d + 1)E')$ . Moreover, again by the generality of the points,  $V'$  is still a maximal lc center for the pair  $(X', D'_d + (t_d + 1)E')$ .

Now we get to the key result in Takayama's proof.

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**Key Theorem.** *Let  $X$  be a complex projective variety, let  $V \subset X$  be an irreducible subvariety and  $\mu : X' \rightarrow X$  a modification with  $V \not\subset \mu(\text{Exc}(\mu))$ . Let  $V' \subset X'$  be the strict transform of  $V$ . Let  $D$  be a divisor on  $X$  and  $\mu^*D = A' + E'$  a decomposition such that :*

a)  $A'$  is an ample  $\mathbb{Q}$ -divisor ;

b)  $E'$  is an effective  $\mathbb{Q}$ -divisor such that  $V'$  is a maximal lc center for the pair  $(X', E')$ .

Then :  $\text{vol}_{X|V}(K_X + D) \geq \text{vol}(K_V)$ .

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Recall that

$$\text{vol}_{X|V}(D) := \limsup_{m \rightarrow +\infty} \frac{\dim[\text{Im}(H^0(X, mD) \rightarrow H^0(V, mD|_V))]}{m^d/d!}$$

Let us see how to use this result. We have

$$\begin{aligned}
 v &\leq \text{vol}(K_{V'}) \quad (\text{hypothesis of Thm. 2}) \\
 &\leq \text{vol}_{X|V}(K_X + (t_d + 1)K_X) \quad (\text{Key Thm.}) \\
 &= (t_d + 2)^d \text{vol}_{X|V}(K_X) \\
 &\leq 2^d (t_d + 2) A'^d \cdot V' \quad (\text{approx. Zariski dec.})
 \end{aligned}$$

In conclusion, taking

$$t'_{d-1} > 2d \cdot 2^{\frac{1}{d}} \cdot v^{-1/d} (t_d + 2)$$

we get

$$(\star) \quad (t'_{d-1} A')^d \cdot V' > 2d^d.$$

Using  $(\star)$ , the Angehrn-Siu arguments allow to construct an effective  $\mathbf{Q}$ -divisor  $D'_{d-1} \sim t_{d-1} A'$ , with  $t_{d-1} < t_d + t'_{d-1}$ , verifying the three needed properties, and the inductive step is proved.

## Details of the Angehrn-Siu arguments :

We need the following result.

**Proposition.** *Let  $X$  be a complex projective variety,  $D$  an effective  $\mathbb{Q}$ -divisor on  $X$  and  $V$  a  $d$ -dimensional irreducible component of  $\text{Nklt}(X, D)$ . There exists a open dense subset  $U$  in the smooth locus of  $V$  and a rational number  $\varepsilon_0 \in ]0, 1[$  such that, for any  $y \in U$ , any rational  $\varepsilon \in ]0, \varepsilon_0[$ , and any effective  $\mathbb{Q}$ -divisor  $B \not\supset V$  verifying*

$$\text{mult}_y B|_V > d$$

*the locus  $\text{Nklt}(X, (1 - \varepsilon)D + B)$  contains  $y$ .*

For simplicity, we suppose  $(X', D'_d)$  is lc at both points  $x'_1$  and  $x'_2$  and that the irreducible component  $V' = \text{Nklt}(X, D'_d, x'_1)$  also passes through  $x'_2$ .

Let  $U$  as in the previous Proposition w.r. t. the pair  $(X', D'_d)$ . We choose :

- two 1-parameter families of points  $(y_\lambda^i)_{\lambda \in \Lambda}$  such that  $y_\lambda^i \in U$  for  $\lambda \neq 0$ , and  $y_0^i = x'_i$ ;

and using condition  $(\star)$  (again as Catriona explained !)

- a flat family of divisors  $(G_{V', \lambda})_{\lambda \in \Lambda \setminus 0}$ , with  $G_{V', \lambda} \in |t'_{d-1} mA'_{|V'}|$  having multiplicity  $md$  at both  $y_\lambda^1$  and  $y_\lambda^2$ .

Denote by  $G_{V', 0}$  the limit divisor.

Moreover we may take  $m \gg 0$  so that

- $\alpha)$  we may lift the  $G_{V', \lambda}$ 's to a flat family of divisors  $G_\lambda \in |t'_{d-1} mA'|$ ;
- $\beta)$  The sheaf  $\mathcal{I}_{V'}(mt'_{d-1} A')$  is g.g.

By Bertini-Kollár, condition  $\beta$ ) implies that, for any  $\varepsilon$  and any  $t \in ]0, 1[$ , we have

$\text{Nklt}(X', (1 - \varepsilon)D'_d + tG_0) \subset \text{Nklt}(X, D'_d)$   
outside  $V'$ .

Set

$$B_\lambda := \frac{1}{m}G_\lambda \in |t'_{d-1}A'|$$

and, for  $\varepsilon \in ]0, \varepsilon_0[$

$$E_\lambda := (1 - \varepsilon)D'_d + B_\lambda \quad (\leq (t_d + t'_{d-1})A')$$

Thanks to the Proposition, for all  $\lambda \neq 0$ , the loci  $\text{Nklt}(X, E_\lambda)$  contain  $y_\lambda^1$  and  $y_\lambda^2$ . Then by a semicontinuity result :

$$\text{Nklt}(X', E_0) \ni x'_1, x'_2.$$

Hence, multiplying  $E_0$  by the max of the lc thresholds at  $x'_1$  and  $x'_2$ , we get the desired divisor

$$D'_{d-1} \sim t_{d-1}A', \quad \text{with} \quad t_{d-1} < t_d + t'_{d-1}.$$

verifying the three needed properties, and the inductive step is completed QED.