

The Hall algebra of the category of coherent sheaves on the projective line

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Abstract

To an abelian category \mathcal{A} of homological dimension one satisfying certain finiteness conditions, one can associate an algebra, called the Hall algebra. Kapranov studied this algebra when \mathcal{A} is the category of coherent sheaves over a smooth projective curve defined over a finite field, and observed analogies with quantum affine algebras. We recover here in an elementary way his results in the case when the curve is the projective line.

Résumé

A toute catégorie abélienne \mathcal{A} de dimension homologique égale à un vérifiant certaines conditions de finitude, on peut associer une algèbre appelée l'algèbre de Hall. Kapranov a étudié cette algèbre lorsque \mathcal{A} est la catégorie des faisceaux cohérents sur une courbe projective lisse définie sur un corps fini et a observé des analogies entre l'algèbre de Hall et les algèbres affines quantiques. Nous redémontrons de manière élémentaire ses résultats dans le cas où la courbe est la droite projective.

Introduction

Let \mathcal{A} be an abelian category of homological dimension one satisfying certain finiteness conditions. One can associate to \mathcal{A} an algebra, called the Hall algebra. Kapranov studied this algebra when \mathcal{A} is the category of coherent sheaves over a smooth projective curve defined over a finite field, and observed analogies with quantum affine algebras. We recover here in an elementary way his results in the case when the curve is the projective line.

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n a d a o n e o n n n a n L n e o n o e a y o n e a
 o d X n o a b a e e o a a b a o A a o d n e
 o b x o n n n a b n e a a a b a a n d n d a a o n
 o a n n a n a b a 7 n e a n X o e x n P¹(F_q) a a n o x
 d d e d o n n a n e o n a n o n o n b n a e a n b a b a o
 a a d a a n a e a n o x a o n n a n a b a U_q(sl₂)
 n a n o b e x o a e o o x a a n o n o n o e x
 n n a n o n n a y a y A x o n a n y o a d e o y o o a o n o e o n
 a x o x P¹(F_q) A n o n n e x n n n a 7 o
 e a o y a a n d x e a o y a e a o y o n o o x a a n d a y
 a b a n a y b a d a n y o n a n a a b O a o a e n o x
 a a y o n a n a o y a n o x a a n o x b o y n a n o
 a e e b a n d n e n a o o b x d a a a n o x o n o n y d a n a a
 d n o n o d x e o o n a o n a o n b a o U_q(sl₂) a d e C a
 a n d y n o d e d n
 a o a n d a o n S e o n x d n o n o a a b a
 a n d o n x a a n a o e a d o a n a b a n e a o y a y n a d a n o n d o n
 n S e o n n e a b a e a e o n e a o y A o n a x d o n o d e x
 n P¹(k) o x a n a b a y d k a n d n a y a n a y n o n b n e a n
 n a y o b e a d n S e o n o n o n e o x d n a n y e
 n a n o a a b a d A n k = F_q o x y n a a n b n
 a d e n o o n b a a n d a o e a y b a n e o
 e d n o n x o a a e o a o n o a a b a a a n d e o d e
 o d b a b a d n o d b o b y B₁ a n d H(A_{tor}) a n d a o e a y d n
 a x a n d o n d a x d y y a n a x a n d e d e a n o a e o n
 a e o d n o P¹(F_q) d n n S e o n a n d a b a b a B₀ o H(A_{tor})
 n n a S e o n e a n d n o n o a n n a n a b a U_q(sl₂) a n d
 a o b a b a o a d a b a n a d b y B₀ a n d B₁
 O n n b e o a n a n a d n S a b o n 99 97 a n d
 a n d a n d a n d a n o x a n a n a o n C a a y o o d
 n e L n e L o y n o a a d o a n d a e o o o n n n
 e a o a e n o d n a n e a o o n e n d
 d e a o n a o n a d a e d a e n o o a n d C S d

1 Hall algebras

1.1 Initial data

L k b a d e a a a n a b a n e a o y A a d o b k n a o n o n o n
 o n A a n d e o k x e o a e o n o n o n o n o n
 b n a k b n a o d a o n n o n o n A e a n b d n x n A d
 n o a x n o n e x o o e x § 7 n o a n a d e a y k x e o

$$0 \longrightarrow M(\gamma) \xrightarrow{f} M(\beta) \xrightarrow{g} M(\alpha) \longrightarrow 0$$

Let $\alpha, \beta, \gamma \in \text{Iso}(\mathcal{A})$ and $S(\alpha, \beta, \gamma)$ an \mathbb{Z} -module by $S(\alpha, \beta, \gamma) / (\text{Aut}_{\mathcal{A}}(M(\alpha)) \times \text{Aut}_{\mathcal{A}}(M(\gamma)))$. $d(\beta) \neq d(\alpha) + d(\gamma)$ a Hall number.

Proposition 1 If $\alpha, \beta, \gamma, \delta \in \text{Iso}(\mathcal{A})$ are isomorphism classes, then

- (i) there are only finitely many isomorphism classes λ such that $\phi_{\alpha\gamma}^\lambda \neq 0$;
- (ii) if (H_4) holds, there are only finitely many pairs $(\rho, \sigma) \in \text{Iso}(\mathcal{A})^2$ such that $\phi_{\rho\sigma}^\beta \neq 0$;
- (iii) $\phi_{\alpha[0]}^\beta = \delta_{\alpha\beta}$ and $\phi_{[0]\gamma}^\beta = \delta_{\beta\gamma}$ (Kronecker symbols);
- (iv) $\sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\beta}^\lambda \phi_{\lambda\gamma}^\delta = \sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\lambda}^\delta \phi_{\beta\gamma}^\lambda$;
- (v) the number $q^{\dim \text{Hom}_{\mathcal{A}}(M(\alpha), M(\gamma))} \phi_{\alpha\gamma}^\beta g_\alpha g_\gamma / g_\beta$ is an integer;
- (vi) $\sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\gamma}^\lambda g_\alpha g_\gamma / g_\lambda = q^{-\langle d(\alpha), d(\gamma) \rangle}$;
- (vii) if $M(\alpha)$ and $M(\gamma)$ are indecomposable objects and $M(\beta)$ is a decomposable object, then $q - 1$ divides $\phi_{\alpha\gamma}^\beta - \phi_{\gamma\alpha}^\beta$;
- (viii) the following formula holds:

$$g_\alpha g_\beta g_\gamma g_\delta \sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\beta}^\lambda \phi_{\gamma\delta}^\lambda / g_\lambda = \sum_{\rho, \sigma, \tau, \nu \in \text{Iso}(\mathcal{A})} q^{-\langle d(\rho), d(\nu) \rangle} \phi_{\rho\sigma}^\alpha \phi_{\tau\nu}^\beta \phi_{\rho\tau}^\gamma \phi_{\sigma\nu}^\delta g_\rho g_\sigma g_\tau g_\nu.$$

Let \mathcal{A} be a Krull-Schmidt category and $K(\mathcal{A})$ the Grothendieck ring of \mathcal{A} . Let $d : \text{Iso}(\mathcal{A}) \rightarrow K(\mathcal{A})$ be the map defined by $d(\alpha) = [M(\alpha)]$. Then d is a \mathbb{Z} -module homomorphism and $d(\beta) = d(\alpha) + d(\gamma)$ if and only if β is a direct sum of α and γ .

Proof. A \mathbb{Z} -module $S(\alpha, \beta, \gamma)$ is defined by $S(\alpha, \beta, \gamma) = \text{Ext}_{\mathcal{A}}^1(M(\alpha), M(\gamma)) / (\text{Aut}_{\mathcal{A}}(M(\alpha)) \times \text{Aut}_{\mathcal{A}}(M(\gamma)))$. Let $d : \text{Iso}(\mathcal{A}) \rightarrow K(\mathcal{A})$ be the map defined by $d(\alpha) = [M(\alpha)]$. Then d is a \mathbb{Z} -module homomorphism and $d(\beta) = d(\alpha) + d(\gamma)$ if and only if β is a direct sum of α and γ . Let $\phi_{\alpha\gamma}^\lambda$ be the number of isomorphism classes $\beta \in \text{Iso}(\mathcal{A})$ such that $d(\beta) = d(\alpha) + d(\gamma)$ and $\beta \cong \alpha \oplus \gamma$. Then $\phi_{\alpha\gamma}^\lambda = 0$ if $d(\beta) \neq d(\alpha) + d(\gamma)$. Let $\phi_{\alpha\beta}^\lambda \phi_{\lambda\gamma}^\delta = \sum_{\mu \in \text{Iso}(\mathcal{A})} \phi_{\alpha\mu}^\delta \phi_{\mu\gamma}^\lambda$. Then $\phi_{\alpha\beta}^\lambda \phi_{\lambda\gamma}^\delta = \sum_{\mu \in \text{Iso}(\mathcal{A})} \phi_{\alpha\mu}^\delta \phi_{\mu\gamma}^\lambda$. Let $\phi_{\alpha\gamma}^\beta g_\alpha g_\gamma / g_\beta = \sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\gamma}^\lambda g_\alpha g_\gamma / g_\lambda$. Then $\phi_{\alpha\gamma}^\beta g_\alpha g_\gamma / g_\beta = \sum_{\lambda \in \text{Iso}(\mathcal{A})} \phi_{\alpha\gamma}^\lambda g_\alpha g_\gamma / g_\lambda$. Let $\phi_{\alpha\beta}^\lambda \phi_{\gamma\delta}^\lambda / g_\lambda = \sum_{\rho, \sigma, \tau, \nu \in \text{Iso}(\mathcal{A})} q^{-\langle d(\rho), d(\nu) \rangle} \phi_{\rho\sigma}^\alpha \phi_{\tau\nu}^\beta \phi_{\rho\tau}^\gamma \phi_{\sigma\nu}^\delta g_\rho g_\sigma g_\tau g_\nu$. Then $\phi_{\alpha\beta}^\lambda \phi_{\gamma\delta}^\lambda / g_\lambda = \sum_{\rho, \sigma, \tau, \nu \in \text{Iso}(\mathcal{A})} q^{-\langle d(\rho), d(\nu) \rangle} \phi_{\rho\sigma}^\alpha \phi_{\tau\nu}^\beta \phi_{\rho\tau}^\gamma \phi_{\sigma\nu}^\delta g_\rho g_\sigma g_\tau g_\nu$. \square

1.3 The Hall algebra and the Ringel-Green bialgebra

$$\tilde{\mathbf{Z}} = \mathbf{Z}[v, v^{-1}]/(v^2 - q)$$

$$\alpha \cdot \gamma = \sum_{\beta \in \text{Iso}(\mathcal{A})} \phi_{\alpha\gamma}^{\beta} \beta$$

$$\alpha * \gamma = v^{\langle d(\alpha), d(\gamma) \rangle} \alpha \cdot \gamma$$

$$\Delta : H(\mathcal{A}) \rightarrow H(\mathcal{A}) \otimes_{\tilde{\mathbf{Z}}} H(\mathcal{A})$$

$$\Delta(\beta) = \sum_{\alpha, \gamma \in \text{Iso}(\mathcal{A})} v^{\langle d(\alpha), d(\gamma) \rangle} \frac{g_{\alpha} g_{\gamma}}{g_{\beta}} \phi_{\alpha\gamma}^{\beta} (\alpha \otimes \gamma) \quad \varepsilon(\beta) = \delta_{\beta[0]}$$

$$H(\mathcal{A}) \otimes_{\tilde{\mathbf{Z}}} H(\mathcal{A})$$

$$(\alpha \otimes \beta) * (\gamma \otimes \delta) = v^{\langle d(\beta), d(\gamma) \rangle + \langle d(\alpha), d(\delta) \rangle} (\alpha * \gamma) \otimes (\beta * \delta),$$

$$\alpha, \beta, \gamma, \delta \in \text{Iso}(\mathcal{A}) \quad H(\mathcal{A}) \quad \text{twisted Ringel-Green bialgebra}$$

2 Coherent sheaves over the projective line

$$\mathbf{P}^1(k)$$

2.1 Generalities on coherent sheaves on $\mathbf{P}^1(k)$

$$U' = \{(t : u) \mid t \neq 0\} \quad U'' = \{(t : u) \mid u \neq 0\}$$

Let $\mathcal{P}^1(k)$ and $\mathcal{O}_{\mathbb{P}^1}$ be a sheaf $\mathcal{O}_{\mathbb{P}^1}(z) = \mathcal{O}_{\mathbb{P}^1}(t/u)$ and $\mathcal{O}_{\mathbb{P}^1}(z^{-1}) = \mathcal{O}_{\mathbb{P}^1}(t/u^{-1})$ on U' and U'' and U''' .

Let \mathcal{A} be a sheaf on M_z and \mathcal{B} be a sheaf on M by $\mathcal{A} \otimes \mathcal{O}_{\mathbb{P}^1}(z) \cong \mathcal{B}$.

Let \mathcal{A} be a coherent sheaf on $\mathbb{P}^1(k)$ and (M', M'', φ) be a complex of sheaves on $\mathbb{P}^1(k)$. Let $M'_z \rightarrow M''_{z^{-1}}$ be a map of sheaves on $k[z]$ and $k[z^{-1}]$. Let (N', N'', ψ) be another complex of sheaves on $\mathbb{P}^1(k)$ and $f': M' \rightarrow N'$ and $f'': M'' \rightarrow N''$ be maps of sheaves on $k[z]$ and $k[z^{-1}]$ respectively. Let $\psi \circ f'_z = f''_{z^{-1}} \circ \varphi$.

On a sheaf \mathcal{A} on $\mathbb{P}^1(k)$, let \mathcal{A}_z and $\mathcal{A}_{z^{-1}}$ be sheaves on $k[z]$ and $k[z^{-1}]$ respectively. Let $\mathcal{A} \otimes \mathcal{O}_{\mathbb{P}^1}(z) \cong \mathcal{A}_z$ and $\mathcal{A} \otimes \mathcal{O}_{\mathbb{P}^1}(z^{-1}) \cong \mathcal{A}_{z^{-1}}$.

Let $\mathcal{F} = (M', M'', \varphi)$ be a complex of sheaves on $\mathbb{P}^1(k)$. Let \mathcal{F}_z and $\mathcal{F}_{z^{-1}}$ be sheaves on $k[z]$ and $k[z^{-1}]$ respectively. Let $\mathcal{F} \otimes \mathcal{O}_{\mathbb{P}^1}(z) \cong \mathcal{F}_z$ and $\mathcal{F} \otimes \mathcal{O}_{\mathbb{P}^1}(z^{-1}) \cong \mathcal{F}_{z^{-1}}$.

Let \mathcal{A}_{tor} be a torsion sheaf on $\mathbb{P}^1(k)$. Let \mathcal{A}_{lf} be a locally free sheaf on $\mathbb{P}^1(k)$. Let $\mathcal{A} \cong \mathcal{A}_{\text{tor}} \oplus \mathcal{A}_{\text{lf}}$.

Let L be a closed point $x \in \mathbb{P}^1(k)$. Let $P \in k[T, U]$ be a polynomial. Let $T \in U'$ and $U \in U''$ be points. Let $P(1, z) \in k[z]$ and $P(z^{-1}, 1) \in k[z^{-1}]$ be polynomials.

Let $\mathcal{O}_{\mathbb{P}^1(k), x}$ be the local ring at x . Let $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z]_{(z-x)}$ and $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z^{-1}]_{(z^{-1}-1/x)}$ be localizations. Let $\deg x = x$ and $\deg U'' = U''$ be degrees.

Let $\mathcal{O}_{\mathbb{P}^1(k), x}$ be a sheaf on $\mathbb{P}^1(k)$. Let $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z]_{(z-x)}$ and $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z^{-1}]_{(z^{-1}-1/x)}$ be localizations. Let $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z]_{(z-x)}$ and $\mathcal{O}_{\mathbb{P}^1(k), x} \cong k[z^{-1}]_{(z^{-1}-1/x)}$ be localizations.

Let \mathcal{F}_x be a stalk of \mathcal{F} at x . Let $\mathcal{F}_x \cong \mathcal{O}_{\mathbb{P}^1(k), x} \otimes \mathcal{F}$ and $\mathcal{F}_x \cong \mathcal{O}_{\mathbb{P}^1(k), x} \otimes \mathcal{F}$ be stalks. Let $\mathcal{F}_x \cong \mathcal{O}_{\mathbb{P}^1(k), x} \otimes \mathcal{F}$ and $\mathcal{F}_x \cong \mathcal{O}_{\mathbb{P}^1(k), x} \otimes \mathcal{F}$ be stalks.

On a sheaf \mathcal{F} on $\mathbb{P}^1(k)$, let $\text{support } \mathcal{F}$ be the support of \mathcal{F} . Let \mathcal{F}_x be a stalk of \mathcal{F} at x . Let $\mathcal{F}_x \cong \mathcal{O}_{\mathbb{P}^1(k), x} \otimes \mathcal{F}$ be a stalk.

Let $\mathcal{A}_{\{x\}}$ be a sheaf on $\mathbb{P}^1(k)$. Let $\mathcal{A}_{\{x\}} \cong \mathcal{O}_{\mathbb{P}^1(k), x}$ and $\mathcal{A}_{\{x\}} \cong \mathcal{O}_{\mathbb{P}^1(k), x}$ be sheaves. Let $\mathcal{A}_{\{x\}} \cong \mathcal{O}_{\mathbb{P}^1(k), x}$ and $\mathcal{A}_{\{x\}} \cong \mathcal{O}_{\mathbb{P}^1(k), x}$ be sheaves.

$$\mathcal{A}_{\{x\}} \cong \mathcal{A}_{\{x\}} \otimes_{\mathcal{O}_{\mathbb{P}^1(k)}} \mathcal{O}_{\mathbb{P}^1(k),x}$$

Proposition 2 (i) The category \mathcal{A} is k -linear, abelian, and satisfies Conditions (H1)–(H3) of Section 1.1. The subcategories \mathcal{A}_{lf} , \mathcal{A}_{tor} , and $\mathcal{A}_{\{x\}}$ of \mathcal{A} are closed under extensions. The categories \mathcal{A}_{tor} and $\mathcal{A}_{\{x\}}$ are k -linear, abelian, and satisfy Conditions (H1)–(H4) of Section 1.1.

(ii) If \mathcal{F} is a locally free sheaf and \mathcal{G} is a torsion sheaf, then $\text{Hom}_{\mathcal{A}}(\mathcal{G}, \mathcal{F}) = \text{Ext}_{\mathcal{A}}^1(\mathcal{F}, \mathcal{G}) = 0$.

(iii) If \mathcal{F} and \mathcal{G} are torsion sheaves with disjoint supports, then $\text{Hom}_{\mathcal{A}}(\mathcal{F}, \mathcal{G}) = \text{Ext}_{\mathcal{A}}^1(\mathcal{F}, \mathcal{G}) = 0$.

(iv) Every coherent sheaf \mathcal{F} can be written as a direct sum of a torsion sheaf and a locally free sheaf. Every torsion sheaf can be written as a finite direct sum of sheaves whose supports are closed points.

(v) The category \mathcal{A}_{tor} is the direct sum of the subcategories $\mathcal{A}_{\{x\}}$, where x runs over the set of all closed points of $\mathbb{P}^1(k)$.

(vi) Going from a sheaf to its stalk at a closed point x gives an isomorphism of categories from $\mathcal{A}_{\{x\}}$ to $\mathcal{O}_{\mathbb{P}^1(k),x}\text{-modf}$.

Proof. Consider the following commutative diagram:

$$\begin{array}{ccc}
 \mathcal{A} & \xrightarrow{\text{an}} & \mathcal{A}_{\text{lf}} \oplus \mathcal{A}_{\text{tor}} \\
 \downarrow \text{an} & & \downarrow \text{an} \\
 \mathcal{A}_{\{x\}} & \xrightarrow{\text{an}} & \mathcal{A}_{\text{lf}} \oplus \mathcal{A}_{\text{tor}} \\
 \downarrow \text{an} & & \downarrow \text{an} \\
 \mathcal{O}_{\mathbb{P}^1(k),x}\text{-modf} & \xrightarrow{\text{an}} & \mathcal{O}_{\mathbb{P}^1(k),x}\text{-modf}
 \end{array}$$

The top row is an exact sequence of functors from \mathcal{A} to $\mathcal{A}_{\text{lf}} \oplus \mathcal{A}_{\text{tor}}$. The bottom row is an exact sequence of functors from $\mathcal{A}_{\{x\}}$ to $\mathcal{O}_{\mathbb{P}^1(k),x}\text{-modf}$. The vertical maps are natural transformations. The diagram commutes because the stalk of a locally free sheaf is a free $\mathcal{O}_{\mathbb{P}^1(k),x}$ -module, and the stalk of a torsion sheaf is a torsion $\mathcal{O}_{\mathbb{P}^1(k),x}$ -module.

$$0 \longrightarrow \text{tor}(\mathcal{F}) \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}/\text{tor}(\mathcal{F}) \longrightarrow 0$$

Applying the functor an to the above sequence, we get:

$$\begin{array}{ccc}
 \text{an}(\text{tor}(\mathcal{F})) & \longrightarrow & \text{an}(\mathcal{F}) & \longrightarrow & \text{an}(\mathcal{F}/\text{tor}(\mathcal{F})) & \longrightarrow & 0 \\
 \cong & & \cong & & \cong & & \\
 \text{tor}(\mathcal{F})_{\{x\}} & \longrightarrow & \mathcal{F}_{\{x\}} & \longrightarrow & (\mathcal{F}/\text{tor}(\mathcal{F}))_{\{x\}} & \longrightarrow & 0
 \end{array}$$

The first row is exact because an is an exact functor. The second row is exact because an is an equivalence of categories. The third row is exact because an is an equivalence of categories.

□

2.2 Indecomposable coherent sheaves over $\mathbf{P}^1(k)$

Let \mathcal{A} be a sheaf on $\mathbf{P}^1(k)$. For any $n \in \mathbf{Z}$, we define $\mathcal{O}(n)$ by $M' = k[z]$ and $M'' = k[z^{-1}]$ and $\varphi : k[z, z^{-1}] \rightarrow k[z, z^{-1}]$ by $\varphi(z^n) = z^{-n}$. Then $\mathcal{O}(n) = \mathcal{O}(n_1) \oplus \cdots \oplus \mathcal{O}(n_r)$ if and only if $n = n_1 + \cdots + n_r$.

For any $m, n \in \mathbf{Z}$, we define $\text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n))$ by $F \in k[T, U]$ and $F(1, z) = 0$. Then $\text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n)) \cong k[z]$ if $m \leq n$ and 0 otherwise.

For any $r \geq 1$, we define $\mathcal{O}(-r)$ by $M' = k[z]/(P(1, z)^r)$ and $M'' = k[z^{-1}]/(P(z^{-1}, 1)^r)$ and $\varphi : k[z, z^{-1}] \rightarrow k[z, z^{-1}]$ by $\varphi(z^n) = z^{-n}$. Then $\mathcal{O}(-r) \cong \mathcal{O}_{\mathbf{P}^1(k), x}/(\pi_x^r)$ for any $x \in \mathbf{P}^1(k)$.

For any $\lambda = (\lambda_1, \lambda_2, \dots)$ with $\lambda_i \geq 0$ and $\lambda_{\ell+1} = 0$, we define $\mathcal{O}_{\lambda[x]}$ by $M' = k[z]$ and $M'' = k[z^{-1}]$ and $\varphi : k[z, z^{-1}] \rightarrow k[z, z^{-1}]$ by $\varphi(z^n) = z^{-n}$ if $n \leq \sum_{i=1}^{\ell} (i-1)\lambda_i$ and 0 otherwise.

$$\mathcal{O}_{\lambda[x]} = \mathcal{O}_{\lambda_1[x]} \oplus \cdots \oplus \mathcal{O}_{\lambda_{\ell}[x]}.$$

$$\mathcal{O}_{(1^r)[x]} = (\mathcal{O}_{[x]})^{\oplus r} \text{ and } \mathcal{O}_{(r)[x]} = \mathcal{O}_{r[x]}$$

2.3 The Grothendieck group and the Euler form

For any $n \in \mathbf{Z}$, we have $\text{rk } \mathcal{O}(n) = 1$, $\text{deg } \mathcal{O}(n) = n$, $\text{rk } \mathcal{O}_{r[x]} = 0$, and $\text{deg } \mathcal{O}_{r[x]} = r \text{ deg } x$.

For any $\lambda = (\lambda_1, \lambda_2, \dots)$ with $\lambda_i \geq 0$ and $\lambda_{\ell+1} = 0$, we have $\text{rk } \mathcal{O}_{\lambda[x]} = 0$ and $\text{deg } \mathcal{O}_{\lambda[x]} = \sum_{i=1}^{\ell} (i-1)\lambda_i \text{ deg } x$.

$$K(\mathcal{A}) \rightarrow \mathbf{Z}^2 \text{ by } d(\mathcal{F}) \mapsto (\text{rk } \mathcal{F}, \text{deg } \mathcal{F}).$$

Proposition 3 *The Euler form on $K(\mathcal{A})$ is given for all coherent sheaves \mathcal{F} and \mathcal{G} by*

$$\langle d(\mathcal{F}), d(\mathcal{G}) \rangle = \text{rk } \mathcal{F} \text{rk } \mathcal{G} + \text{rk } \mathcal{F} \text{deg } \mathcal{G} - \text{deg } \mathcal{F} \text{rk } \mathcal{G}.$$

Proof.

$$\begin{aligned} \langle d(\mathcal{O}(m)), d(\mathcal{O}(n)) \rangle &= \dim \text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n)) - \dim \text{Ext}_{\mathcal{A}}^1(\mathcal{O}(m), \mathcal{O}(n)) \\ &= \dim \text{Hom}_{\mathcal{A}}(\mathcal{O}_{\mathbf{P}^1(k)}, \mathcal{O}(n-m)) - \dim \text{Ext}_{\mathcal{A}}^1(\mathcal{O}_{\mathbf{P}^1(k)}, \mathcal{O}(n-m)) \\ &= \dim H^0(\mathbf{P}^1(k), \mathcal{O}(n-m)) - \dim H^1(\mathbf{P}^1(k), \mathcal{O}(n-m)) \\ &= 1 + \text{deg}(\mathcal{O}(n-m)) \\ &= 1 + n - m \\ &= \text{rk } \mathcal{O}(m) \text{rk } \mathcal{O}(n) + \text{rk } \mathcal{O}(m) \text{deg } \mathcal{O}(n) - \text{deg } \mathcal{O}(m) \text{rk } \mathcal{O}(n) \end{aligned}$$

for all $m, n \in \mathbf{Z}$. \square

2.4 Some extensions of sheaves

For all $m, n \in \mathbf{Z}$, we have the following results:

Lemma 4 *For all $m, n \in \mathbf{Z}$,*

- (i) any non-zero element in $\text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n))$ is a monomorphism;
- (ii) as a k -algebra, $\text{End}_{\mathcal{A}}(\mathcal{O}(n)) \simeq k$;
- (iii) the k -vector space $\text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n))$ has dimension $\max(0, n - m + 1)$;
- (iv) for any closed point x and any partition λ , the k -vector space $\text{Hom}_{\mathcal{A}}(\mathcal{O}(n), \mathcal{O}_{\lambda[x]})$ has dimension $|\lambda| \text{deg } x$.

$$0 \longrightarrow \mathcal{O}(m) \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{O}(n) \longrightarrow 0,$$

where f and g are maps between sheaves.

Proposition 5 Let m, n, p, q be integers, and consider a sequence of the form

$$0 \longrightarrow \mathcal{O}(m) \xrightarrow{f} \mathcal{O}(p) \oplus \mathcal{O}(q) \xrightarrow{g} \mathcal{O}(n) \longrightarrow 0.$$

Let

$$\begin{aligned} h &\in \text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(p)), & j &\in \text{Hom}_{\mathcal{A}}(\mathcal{O}(p), \mathcal{O}(n)), \\ i &\in \text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(q)), & \ell &\in \text{Hom}_{\mathcal{A}}(\mathcal{O}(q), \mathcal{O}(n)), \end{aligned}$$

be defined by $f = h \oplus i$ and $g = j \oplus \ell$, and call H, I, J, L the homogeneous polynomials in $k[T, U]$ representing h, i, j, ℓ , respectively. Then the sequence is a non-split short exact sequence if and only if the following three conditions are satisfied:

- (a) $m < \min(p, q)$, $\max(p, q) < n$, and $p + q = m + n$.
- (b) J and L are coprime polynomials.
- (c) There is a non-zero scalar E such that $H = EL$ and $I = -EJ$.

Proof. Consider a commutative diagram in the form of a snake lemma. Let D be a homogeneous polynomial in $k[T, U]$ of degree d . Then we have a commutative diagram:

$$\begin{array}{ccc} \mathcal{O}(p) \oplus \mathcal{O}(q) & \xrightarrow{g} & \mathcal{O}(n) \\ \searrow & & \nearrow d \\ & \mathcal{O}(n - \deg D) & \end{array}$$

Since $g \circ f = 0$, we have $HJ + IL = 0$. Consider the commutative diagram:

$$\begin{array}{ccc} \mathcal{O}(p) \oplus \mathcal{O}(q) & \xrightarrow{f} & \mathcal{O}(m) \\ \searrow & & \nearrow \\ & \mathcal{O}(n - \deg D) & \end{array}$$

Since $f \circ g = 0$, we have $HJ + IL = 0$. Consider the commutative diagram:

$$\begin{array}{ccc} \mathcal{O}(p) \oplus \mathcal{O}(q) & \xrightarrow{f} & \mathcal{O}(m) \\ \searrow & & \nearrow \\ & \mathcal{O}(n - \deg D) & \end{array}$$

$$2 \deg E = \deg H + \deg I - \deg L - \deg J = (p - m) + (q - m) - (n - q) - (n - p) = 0,$$

E is a non-zero scalar in k .



$$0 \longrightarrow \mathcal{O}(m)(U') \xrightarrow{f_{U'}=h_{U'} \oplus i_{U'}} \mathcal{O}(p)(U') \oplus \mathcal{O}(q)(U') \xrightarrow{g_{U'}=j_{U'} \oplus \ell_{U'}} \mathcal{O}(n)(U') \longrightarrow 0,$$

$$\begin{array}{ccc} \parallel & & \parallel \\ k[z] & & k[z] \oplus k[z] \\ \parallel & & \parallel \\ k[z] & & k[z] \end{array}$$

Let $h_{U'}, i_{U'}, \dots$ be a set of generators of $H(1, z), I(1, z), \dots$ in \mathcal{O}_n by $J(1, z)$ and $L(1, z)$ in \mathcal{O}_n by $g_{U'}$. An analogous set of generators a, b, \dots in \mathcal{O}_n is chosen such that $\ker g_{U'} = \text{im } f_{U'}$. A set of generators U'' is chosen such that $\ker g_{U'} = \text{im } f_{U'}$. \square

Corollary 6 If $m, n \in \mathbf{Z}$ are integers satisfying $n \leq m + 1$, then the extension group $\text{Ext}_{\mathcal{A}}^1(\mathcal{O}(n), \mathcal{O}(m))$ vanishes.

Let x be a closed point of $\mathbf{P}^1(k)$, corresponding to an irreducible homogeneous polynomial $P \in k[T, U]$. Let $m, n \in \mathbf{Z}$, let $F \in k[T, U]$ be a non-zero homogeneous polynomial of degree $n - m$, and let $f \in \text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n))$ be the morphism defined by F . If the support of the cokernel of f is included in $\{x\}$, then there exists an integer $r \geq 1$ such that $F = P^r$, up to a non-zero scalar, and one has $\text{coker } f \simeq \mathcal{O}_{r[x]}$.

Lemma 7 Let x be a closed point of $\mathbf{P}^1(k)$, corresponding to an irreducible homogeneous polynomial $P \in k[T, U]$. Let $m, n \in \mathbf{Z}$, let $F \in k[T, U]$ be a non-zero homogeneous polynomial of degree $n - m$, and let $f \in \text{Hom}_{\mathcal{A}}(\mathcal{O}(m), \mathcal{O}(n))$ be the morphism defined by F . If the support of the cokernel of f is included in $\{x\}$, then there exists an integer $r \geq 1$ such that $F = P^r$, up to a non-zero scalar, and one has $\text{coker } f \simeq \mathcal{O}_{r[x]}$.

Proof. Let $\tilde{f} : \mathcal{O}(m-n) \rightarrow \mathcal{O}(0)$ be the morphism defined by $\tilde{f} = P_1^{r_1} \cdots P_t^{r_t}$, where P_1, \dots, P_t are the irreducible factors of F and r_1, \dots, r_t are their respective multiplicities. Let $g_i : \mathcal{O}(0) \rightarrow \mathcal{O}_{r_i[x_i]}$ be the morphism defined by $g_i = P_i^{r_i}$. Then $\tilde{f} = \sum_{i=1}^t g_i$.

$$0 \longrightarrow \mathcal{O}(m-n) \xrightarrow{\tilde{f}} \mathcal{O}(0) \xrightarrow{\oplus g_i} \bigoplus_{i=1}^t \mathcal{O}_{r_i[x_i]} \longrightarrow 0$$

$$0 \longrightarrow \mathcal{O}(m) \xrightarrow{f} \mathcal{O}(n) \longrightarrow \bigoplus_{i=1}^t \mathcal{O}_{r_i[x_i]} \longrightarrow 0.$$

Let $f = \sum_{i=1}^t g_i \circ P_i^{-r_i}$. Then $\text{coker } f \simeq \bigoplus_{i=1}^t \mathcal{O}_{r_i[x_i]}$. \square



Proof. Let $(J, L) \in \mathbf{F}_q[T, U]$ and $d \leq \min(a, b)$. Then $(q^{a+1} - 1)(q^{b+1} - 1) = \sum_{d=0}^{\min(a,b)} \frac{q^{d+1} - 1}{q - 1} \varphi(a - d, b - d)$.

$$(q^{a+1} - 1)(q^{b+1} - 1) = \sum_{d=0}^{\min(a,b)} \frac{q^{d+1} - 1}{q - 1} \varphi(a - d, b - d).$$

any $x \in \mathbf{P}^1(\mathbf{F}_q)$ with $q_x = q^{\deg x}$. Then $\mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d) \cong \mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d)$.

any $x \in \mathbf{P}^1(\mathbf{F}_q)$ with $q_x = q^{\deg x}$. Then $\mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d) \cong \mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d)$.

Theorem 10 In the Hall algebra $H(\mathcal{A})$, one has the following relations:

- (i) $[\mathcal{O}(m)^{\oplus a}][\mathcal{O}(m)^{\oplus b}] = \left(\prod_{c=1}^a \frac{q^{b+c}-1}{q^c-1} \right) [\mathcal{O}(m)^{\oplus(a+b)}]$ for every $m \in \mathbf{Z}$ and $a, b \in \mathbf{N}$.
- (ii) If $\mathcal{F} = \mathcal{O}(n_1) \oplus \dots \oplus \mathcal{O}(n_r)$ is a locally free sheaf, if $m \in \mathbf{Z}$ is strictly greater than n_1, \dots, n_r , and if a is a non-negative integer, then $[\mathcal{F}][\mathcal{O}(m)^{\oplus a}] = [\mathcal{F} \oplus \mathcal{O}(m)^{\oplus a}]$.
- (iii) If $m < n$, then

$$[\mathcal{O}(n)][\mathcal{O}(m)] = q^{n-m+1}[\mathcal{O}(m) \oplus \mathcal{O}(n)] + \sum_{a=1}^{\lfloor (n-m)/2 \rfloor} (q^2 - 1) q^{n-m-1} [\mathcal{O}(m+a) \oplus \mathcal{O}(n-a)].$$

- (iv) If \mathcal{F} is a locally free sheaf and \mathcal{G} is a torsion sheaf, then $[\mathcal{F}][\mathcal{G}] = [\mathcal{F} \oplus \mathcal{G}]$.
- (v) If \mathcal{F} and \mathcal{G} are torsion sheaves with disjoint supports, then $[\mathcal{F}][\mathcal{G}] = [\mathcal{F} \oplus \mathcal{G}]$.
- (vi) If x is a closed point, r is a positive integer, and $n \in \mathbf{Z}$, then

$$[\mathcal{O}_{(1^r)[x]}][\mathcal{O}(n)] = [\mathcal{O}(n + \deg x) \oplus \mathcal{O}_{(1^{r-1})[x]}] + q_x^r [\mathcal{O}(n) \oplus \mathcal{O}_{(1^r)[x]}].$$

Proof. Since $\text{Ext}_{\mathcal{A}}^1(\mathcal{O}(m), \mathcal{O}(m)) = 0$, we have $[\mathcal{O}(m)^{\oplus a}][\mathcal{O}(m)^{\oplus b}] = [\mathcal{O}(m)^{\oplus(a+b)}]$ in $H(\mathcal{A})$.

$$0 \longrightarrow \mathcal{O}(m)^{\oplus b} \longrightarrow \mathcal{F} \longrightarrow \mathcal{O}(m)^{\oplus a} \longrightarrow 0$$

any $x \in \mathbf{P}^1(\mathbf{F}_q)$ with $q_x = q^{\deg x}$. Then $\mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d) \cong \mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}(-d)$.



any $\mathcal{O}(m) \rightarrow \mathcal{O}(n) \rightarrow \text{Ext}_A^1(\mathcal{O}(n_i), \mathcal{O}(m)) \rightarrow \mathcal{O}(m)$ is an exact sequence.

$$0 \rightarrow \mathcal{O}(m)^{\oplus a} \xrightarrow{f} \mathcal{G} \rightarrow \mathcal{F} \rightarrow 0$$

Let $\mathcal{G} = \mathcal{F} \oplus \mathcal{O}(m)^{\oplus a}$. We have a short exact sequence $0 \rightarrow \mathcal{O}(m)^{\oplus a} \rightarrow \mathcal{G} \rightarrow \mathcal{F} \rightarrow 0$. The map $f: \mathcal{O}(m)^{\oplus a} \rightarrow \mathcal{G}$ is given by $f = h \oplus i$, where $h \in \text{End}_A(\mathcal{O}(m))$ and $i \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$. The map $g: \mathcal{G} \rightarrow \mathcal{O}(n)$ is given by $g = j \oplus \ell$, where $j \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$ and $\ell \in \text{End}_A(\mathcal{O}(n))$. The map f is injective, and the map g is surjective. The kernel of g is $\mathcal{O}(m)$.

$$0 \rightarrow \mathcal{O}(m) \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{O}(n) \rightarrow 0$$

Let $\mathcal{F} \simeq \mathcal{O}(m) \oplus \mathcal{O}(n)$. We have $1 \leq a \leq \lfloor (n-m)/2 \rfloor$. The map f is given by $f = h \oplus i$, where $h \in \text{End}_A(\mathcal{O}(m))$ and $i \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$. The map g is given by $g = j \oplus \ell$, where $j \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$ and $\ell \in \text{End}_A(\mathcal{O}(n))$.

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□

Application 11. Let x be a homogeneous polynomial of degree $\deg x$ in $\mathbb{P}^1(\mathbb{F}_q)$. We have $n \in \mathbb{Z}$ and $n \geq \deg x$. The map f is given by $f = h \oplus i$, where $h \in \text{End}_A(\mathcal{O}(m))$ and $i \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$. The map g is given by $g = j \oplus \ell$, where $j \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$ and $\ell \in \text{End}_A(\mathcal{O}(n))$.

$$[\mathcal{O}_{[x]}][\mathcal{O}(n)^{\oplus 2}] = q_x[\mathcal{O}(n) \oplus \mathcal{O}(n + \deg x)]$$

$$+ q_x \left(1 - \frac{1}{q} \right) \sum_{a=1}^{\lfloor (\deg x)/2 \rfloor} [\mathcal{O}(n+a) \oplus \mathcal{O}(n + \deg x - a)] + q_x[\mathcal{O}(n)^{\oplus 2} \oplus \mathcal{O}_{[x]}].$$

Let $\deg x \geq 2$. We have $1 \leq a \leq \deg x - 1$. The map f is given by $f = h \oplus i$, where $h \in \text{End}_A(\mathcal{O}(m))$ and $i \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$. The map g is given by $g = j \oplus \ell$, where $j \in \text{Hom}_A(\mathcal{O}(m), \mathcal{O}(n))$ and $\ell \in \text{End}_A(\mathcal{O}(n))$.

If $P \in \mathbf{F}_q[T]$ is an irreducible polynomial of degree $d \geq 2$ and if $1 \leq a \leq d - 1$, then there are exactly $q^{d-1}(q-1)^2$ quadruples $(H, I, J, L) \in \mathbf{F}_q[T]^4$ consisting of polynomials of degree $a, d-a, a-1, d-a-1$, respectively, such that $HI - JL = P$.

3.2 The Hall subalgebras $H(\mathcal{A}_{\{x\}})$ and $H(\mathcal{A}_{\text{tor}})$

Let $\mathcal{A} = \mathbf{F}_q[x]$ be a polynomial algebra over a finite field \mathbf{F}_q of order q . Let $r \geq 1$ be an integer and let $\lambda = (\lambda_1, \dots, \lambda_r)$ be a partition of r . Let $\mathcal{O}_{\lambda[x]}$ be the subalgebra of \mathcal{A} generated by the elements x^{λ_i} . Let $\mathcal{O}_{(1^r)[x]}$ be the subalgebra of \mathcal{A} generated by the elements x, x^2, \dots, x^r . Let \mathcal{A}^k be the subalgebra of \mathcal{A} generated by the elements x^k, x^{2k}, \dots . Let $H(\mathcal{A}_{\{x\}})$ be the Hall subalgebra of \mathcal{A} and let $H(\mathcal{A}_{\text{tor}})$ be the Hall subalgebra of \mathcal{A} consisting of all elements of finite order. Let $\tilde{\mathbf{Z}}$ be the ring of integers and let $\tilde{\mathbf{Z}}_r$ be the subring of $\tilde{\mathbf{Z}}$ consisting of all elements divisible by r . Let $\hat{h}_{r,x} = \sum_{|\lambda|=r} [\mathcal{O}_{\lambda[x]}]$ be the element of $H(\mathcal{A}_{\{x\}})$ defined by the sum of all partitions of r . Let $\tilde{\mathbf{Z}} = \mathbf{Z}[v, v^{-1}]/(v^2 - q)$ be the ring of integers and let Λ be the ring of integers. Let $P_\lambda(t) \in \Lambda[t]$ be the polynomial defined by $P_\lambda(t) = \sum_{|\lambda|=r} t^{|\lambda|} [\mathcal{O}_{\lambda[x]}]$. Let $h_r \in \Lambda$ and $e_r \in \Lambda$ be the elements defined by $h_r = \hat{h}_{r,x}$ and $e_r = q_x^{-r(r-1)/2} e_r$. Let S be the set of all elements of $\tilde{\mathbf{Z}}$ and let \mathcal{C} be the set of all elements of $\tilde{\mathbf{Z}}$ of the form v^k . Let \mathcal{A}^k be the subalgebra of \mathcal{A} generated by the elements x^k, x^{2k}, \dots . Let $\mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}$ be the subalgebra of \mathcal{A} generated by the elements x, x^2, \dots, x^r . Let $H(\mathcal{A}_{\{x\}})$ be the Hall subalgebra of \mathcal{A} and let $H(\mathcal{A}_{\text{tor}})$ be the Hall subalgebra of \mathcal{A} consisting of all elements of finite order. Let $\tilde{\mathbf{Z}}$ be the ring of integers and let $\tilde{\mathbf{Z}}_r$ be the subring of $\tilde{\mathbf{Z}}$ consisting of all elements divisible by r . Let $\hat{h}_{r,x} = \sum_{|\lambda|=r} [\mathcal{O}_{\lambda[x]}]$ be the element of $H(\mathcal{A}_{\{x\}})$ defined by the sum of all partitions of r . Let $\tilde{\mathbf{Z}} = \mathbf{Z}[v, v^{-1}]/(v^2 - q)$ be the ring of integers and let Λ be the ring of integers. Let $P_\lambda(t) \in \Lambda[t]$ be the polynomial defined by $P_\lambda(t) = \sum_{|\lambda|=r} t^{|\lambda|} [\mathcal{O}_{\lambda[x]}]$. Let $h_r \in \Lambda$ and $e_r \in \Lambda$ be the elements defined by $h_r = \hat{h}_{r,x}$ and $e_r = q_x^{-r(r-1)/2} e_r$. Let S be the set of all elements of $\tilde{\mathbf{Z}}$ and let \mathcal{C} be the set of all elements of $\tilde{\mathbf{Z}}$ of the form v^k .

Proposition 12

(i) There is a ring isomorphism $\Psi_x : H(\mathcal{A}_{\{x\}}) \rightarrow \Lambda$ that sends the elements $\hat{h}_{r,x}$, $[\mathcal{O}_{(1^r)[x]}]$, and $[\mathcal{O}_{\lambda[x]}]$ of $H(\mathcal{A}_{\{x\}})$, respectively, to the elements h_r , $q_x^{-r(r-1)/2} e_r$ and $q_x^{-n(\lambda)} P_\lambda(q_x^{-1})$ of Λ , respectively, for any integer $r \geq 1$ and any partition λ .

(ii) The $\tilde{\mathbf{Z}}$ -algebra $H(\mathcal{A}_{\{x\}})$ is a polynomial algebra on the set $\{\hat{h}_{r,x} \mid r \geq 1\}$, as well as on the set $\{[\mathcal{O}_{(1^r)[x]}] \mid r \geq 1\}$. The family $([\mathcal{O}_{r[x]}])_{r \geq 1}$ consists of algebraically independent elements and generates the $\mathbf{Q}[v]/(v^2 - q)$ -algebra $H(\mathcal{A}_{\{x\}}) \otimes_{\mathbf{Z}} \mathbf{Q}$.

Proof. Let $\mathcal{A} = \mathbf{F}_q[x]$ be a polynomial algebra over a finite field \mathbf{F}_q of order q . Let $r \geq 1$ be an integer and let $\lambda = (\lambda_1, \dots, \lambda_r)$ be a partition of r . Let $\mathcal{O}_{\lambda[x]}$ be the subalgebra of \mathcal{A} generated by the elements x^{λ_i} . Let $\mathcal{O}_{(1^r)[x]}$ be the subalgebra of \mathcal{A} generated by the elements x, x^2, \dots, x^r . Let \mathcal{A}^k be the subalgebra of \mathcal{A} generated by the elements x^k, x^{2k}, \dots . Let $H(\mathcal{A}_{\{x\}})$ be the Hall subalgebra of \mathcal{A} and let $H(\mathcal{A}_{\text{tor}})$ be the Hall subalgebra of \mathcal{A} consisting of all elements of finite order. Let $\tilde{\mathbf{Z}}$ be the ring of integers and let $\tilde{\mathbf{Z}}_r$ be the subring of $\tilde{\mathbf{Z}}$ consisting of all elements divisible by r . Let $\hat{h}_{r,x} = \sum_{|\lambda|=r} [\mathcal{O}_{\lambda[x]}]$ be the element of $H(\mathcal{A}_{\{x\}})$ defined by the sum of all partitions of r . Let $\tilde{\mathbf{Z}} = \mathbf{Z}[v, v^{-1}]/(v^2 - q)$ be the ring of integers and let Λ be the ring of integers. Let $P_\lambda(t) \in \Lambda[t]$ be the polynomial defined by $P_\lambda(t) = \sum_{|\lambda|=r} t^{|\lambda|} [\mathcal{O}_{\lambda[x]}]$. Let $h_r \in \Lambda$ and $e_r \in \Lambda$ be the elements defined by $h_r = \hat{h}_{r,x}$ and $e_r = q_x^{-r(r-1)/2} e_r$. Let S be the set of all elements of $\tilde{\mathbf{Z}}$ and let \mathcal{C} be the set of all elements of $\tilde{\mathbf{Z}}$ of the form v^k . Let \mathcal{A}^k be the subalgebra of \mathcal{A} generated by the elements x^k, x^{2k}, \dots . Let $\mathcal{O}_{\mathbf{P}^1(\mathbf{F}_q), x}$ be the subalgebra of \mathcal{A} generated by the elements x, x^2, \dots, x^r . Let $H(\mathcal{A}_{\{x\}})$ be the Hall subalgebra of \mathcal{A} and let $H(\mathcal{A}_{\text{tor}})$ be the Hall subalgebra of \mathcal{A} consisting of all elements of finite order. Let $\tilde{\mathbf{Z}}$ be the ring of integers and let $\tilde{\mathbf{Z}}_r$ be the subring of $\tilde{\mathbf{Z}}$ consisting of all elements divisible by r . Let $\hat{h}_{r,x} = \sum_{|\lambda|=r} [\mathcal{O}_{\lambda[x]}]$ be the element of $H(\mathcal{A}_{\{x\}})$ defined by the sum of all partitions of r . Let $\tilde{\mathbf{Z}} = \mathbf{Z}[v, v^{-1}]/(v^2 - q)$ be the ring of integers and let Λ be the ring of integers. Let $P_\lambda(t) \in \Lambda[t]$ be the polynomial defined by $P_\lambda(t) = \sum_{|\lambda|=r} t^{|\lambda|} [\mathcal{O}_{\lambda[x]}]$. Let $h_r \in \Lambda$ and $e_r \in \Lambda$ be the elements defined by $h_r = \hat{h}_{r,x}$ and $e_r = q_x^{-r(r-1)/2} e_r$. Let S be the set of all elements of $\tilde{\mathbf{Z}}$ and let \mathcal{C} be the set of all elements of $\tilde{\mathbf{Z}}$ of the form v^k . \square



by

$$\begin{aligned} \widehat{H}_x(s) &= 1 + \sum_{r \geq 1} \widehat{h}_{r,x} s^r = \sum_{\beta \in \text{Iso}(\mathcal{A}_{\{x\}})} \beta s^{\deg \beta / \deg x}, \\ \widehat{E}_x(s) &= 1 + \sum_{r \geq 1} q_x^{r(r-1)/2} [\mathcal{O}_{(1^r)[x]}] s^r, \\ \widehat{Q}_x(s) &= 1 + \sum_{r \geq 1} (1 - q_x^{-1}) v^{r \deg x} [\mathcal{O}_{r[x]}] s^r. \end{aligned}$$

Lemma 13 (i) The following relations hold in $H(\mathcal{A}_{\{x\}})[[s]]$:

$$\widehat{H}_x(s) \widehat{E}_x(-s) = 1 \quad \text{and} \quad \widehat{Q}_x(s) = \frac{\widehat{H}_x(s v^{\deg x})}{\widehat{H}_x(s/v^{\deg x})}.$$

(ii) In $H(\mathcal{A}_{\{x\}})[[s]]$, one has

$$\widehat{Q}_x(s) = \sum_{r \geq 0} |\text{Aut}_{\mathcal{A}}(\mathcal{O}_{r[x]})| v^{-r \deg x} [\mathcal{O}_{r[x]}] s^r.$$

Proof. by

$$H(s) = 1 + \sum_{r \geq 1} h_r s^r \quad \text{and} \quad E(s) = 1 + \sum_{r \geq 1} e_r s^r.$$

by

$$H(s)E(-s) = 1 + \sum_{r \geq 1} (1 - q_x^{-1}) (s v^{\deg x})^r P_r(q_x^{-1}) = \frac{H(s v^{\deg x})}{H(s v^{\deg x}/q_x)}.$$

by Ψ_x $|\text{Aut}_{\mathcal{A}}(\mathcal{O}_{r[x]})| = q_x^r (1 - q_x^{-1})$ any $r \geq 1$ \square

Remark 14. by $\mathcal{A}_{\{x\}}$ a yn $\mathbb{C} \cap \mathbb{N} \cap \mathbb{Z}$ a $H(\mathcal{A}_{\{x\}})$ a $K(\mathcal{A}_{\{x\}})$ a $\widetilde{\mathbb{Z}}$ a $H(\mathcal{A}_{\{x\}}) \otimes_{\widetilde{\mathbb{Z}}} H(\mathcal{A}_{\{x\}})$ a $\widetilde{\mathbb{Z}}$ a $H(\mathcal{A}_{\{x\}})$ a Λ a $\widetilde{\mathbb{Z}}$ a Ψ_x a $\widehat{h}_{r,x}$ a Λ a $\Psi_x(\widehat{h}_{r,x}) = h_r$ a $(H(\mathcal{A}_{\{x\}}) \otimes_{\widetilde{\mathbb{Z}}} H(\mathcal{A}_{\{x\}}))[[s^{\deg x}]]$



$$\begin{aligned}
\Delta(\widehat{H}_x(s^{\deg x})) &= \sum_{\beta \in \text{Iso}(\mathcal{A}_{\{x\}})} s^{\deg \beta} \Delta(\beta) \\
&= \sum_{\alpha, \beta, \gamma \in \text{Iso}(\mathcal{A}_{\{x\}})} s^{\deg \beta} \frac{g_\alpha g_\gamma}{g_\beta} \phi_{\alpha\gamma}^\beta (\alpha \otimes \gamma) \\
&= \sum_{\alpha, \gamma \in \text{Iso}(\mathcal{A}_{\{x\}})} \left(\sum_{\beta} \frac{g_\alpha g_\gamma}{g_\beta} \phi_{\alpha\gamma}^\beta \right) (s^{\deg \alpha} \alpha) \otimes (s^{\deg \gamma} \gamma) \\
&= \sum_{\alpha, \gamma \in \text{Iso}(\mathcal{A}_{\{x\}})} (s^{\deg \alpha} \alpha) \otimes (s^{\deg \gamma} \gamma) \\
&= \widehat{H}_x(s^{\deg x}) \otimes \widehat{H}_x(s^{\deg x}).
\end{aligned}$$

$\Delta(\widehat{h}_{r,x}) = \sum_{s=0}^r \widehat{h}_{s,x} \otimes \widehat{h}_{r-s,x}$ in $H(\mathcal{A}_{\{x\}}) \otimes_{\mathbb{Z}} H(\mathcal{A}_{\{x\}})$. A if a o if a o d o
if a o if a o y if a e n e on h_r by o o d e o \Lambda o e e d an d
o e a if o o \searrow
 $\bigvee_{n \geq 1} H(\mathcal{A}_{\text{tor}})$ if a b \searrow b a e o H(\mathcal{A}) ann d by o if o if e a
o o b e n \mathcal{A}_{\text{tor}} y o o \searrow d n \searrow e a o y \mathcal{A}_{\text{tor}} d e if o e a o
 $\mathcal{A}_{\{x\}}$ o a a b a H(\mathcal{A}_{\text{tor}}) e an o n e a y o if o e o d n o o d e o \searrow \widetilde{\mathcal{Z}} o
a a b a H(\mathcal{A}_{\{x\}}) o o o n \searrow a n \blacktriangle \bigvee a o n o d a a
o d e \cdot an d n o d e * o n e d o n H(\mathcal{A}_{\text{tor}}) b e a \bigvee o if \searrow an o n
 $K(\mathcal{A}_{\text{tor}})$ by d o o o n
 $\bigvee_{n \geq 1} d$ n if n $\widehat{h}_r \widehat{e}_r$ an d \widehat{q}_r o $H(\mathcal{A}_{\text{tor}})$ o $r \geq 1$ by if an o n a n

$$\widehat{H}(s) = 1 + \sum_{r \geq 1} \widehat{h}_r s^r = \prod_{x \in \mathbf{P}^1(\mathbf{F}_q)} \widehat{H}_x(s^{\deg x}),$$

$$\widehat{E}(s) = 1 + \sum_{r \geq 1} \widehat{e}_r s^r = \prod_{x \in \mathbf{P}^1(\mathbf{F}_q)} \widehat{E}_x(-s^{\deg x}),$$

$$\widehat{Q}(s) = 1 + \sum_{r \geq 1} \widehat{q}_r s^r = \prod_{x \in \mathbf{P}^1(\mathbf{F}_q)} \widehat{Q}_x(s^{\deg x}).$$

a a if an o o d n $H(\mathcal{A}_{\text{tor}})[[s]]$ n an d d o ab o \searrow a
o n o d e a o \searrow o a e o d o n o $\mathbf{P}^1(\mathbf{F}_q)$ d d o

Lemma 15 (i) *One has the relations*

$$\widehat{H}(s) \widehat{E}(s) = 1 \quad \text{and} \quad \widehat{Q}(s) = \frac{\widehat{H}(sv)}{\widehat{H}(s/v)},$$



or equivalently, for each $r \geq 1$,

$$\widehat{h}_r + \sum_{s=1}^{r-1} \widehat{h}_s \widehat{e}_{r-s} + \widehat{e}_r = 0,$$

$$(q^r - 1) \widehat{h}_r = v^r \widehat{q}_r + \sum_{s=1}^{r-1} v^{r-s} \widehat{h}_s \widehat{q}_{r-s}.$$

(ii) The three families $(\widehat{h}_r)_{r \geq 1}$, $(\widehat{e}_r)_{r \geq 1}$, and $(\widehat{q}_r)_{r \geq 1}$ consist of algebraically independent elements.

Proof. A by $H(\mathcal{A}_{\{x\}})$ $x \neq \infty$ $H(\mathcal{A}_{\text{tor}})$ $H(\mathcal{A}_{\text{tor}})$ Γ $\widehat{h}_r - \widehat{h}_{r,\infty}$ $\Gamma[\widehat{h}_{1,\infty}, \dots, \widehat{h}_{r-1,\infty}]$ \widehat{h}_r A \square

3.3 A subalgebra of $H(\mathcal{A})$

$H(\mathcal{A})$ $H(\mathcal{A}_{\text{tor}})$ S B $H(\mathcal{A})$ $U_q(\mathfrak{sl}_2)$ $\widetilde{\mathbb{Z}}$ B $\widetilde{\mathbb{Z}}$ \mathbb{Z} a $[a] = (v^a - v^{-a}) / (v - v^{-1})$ $a \in \mathbb{Z}$ $[0]! = 1$ $[a]!$ $[a]!$ $[a]!$

Lemma 16 (i) For all $m, n \in \mathbb{Z}$, one has

$$[\mathcal{O}(m+1)] * [\mathcal{O}(n)] - v^2 [\mathcal{O}(n)] * [\mathcal{O}(m+1)] = v^2 [\mathcal{O}(m)] * [\mathcal{O}(n+1)] - [\mathcal{O}(n+1)] * [\mathcal{O}(m)].$$

(ii) If $n_1 < \dots < n_r$ is an increasing sequence of integers and if c_1, \dots, c_r is a sequence of positive integers, then one has

$$[\mathcal{O}(n_1)]^{*c_1} * \dots * [\mathcal{O}(n_r)]^{*c_r} = \left(\prod_{i=1}^r q^{c_i(c_i-1)/2} [c_i]! \right) v^{\sum_{1 \leq i < j \leq r} (n_j - n_i + 1) c_i c_j} \left[\bigoplus_{i=1}^r \mathcal{O}(n_i)^{\oplus c_i} \right].$$

Proof. A \mathbb{Q} -algebra $\mathcal{O}(n)$ is defined by a \mathbb{Q} -algebra \mathcal{A} and a \mathbb{Q} -algebra \mathcal{B} such that $\mathcal{O}(n) = \mathcal{A} \oplus \mathcal{B}$.

$$\begin{aligned} [\mathcal{O}(n_i)]^{*c_i} &= v^{c_i(c_i-1)/2} [\mathcal{O}(n_i)]^{c_i} \\ &= v^{c_i(c_i-1)/2} \left(\prod_{a=1}^{c_i} \frac{q^a - 1}{q - 1} \right) [\mathcal{O}(n_i)^{\oplus c_i}] \\ &= q^{c_i(c_i-1)/2} [c_i!] [\mathcal{O}(n_i)^{\oplus c_i}], \end{aligned}$$

and

$$\begin{aligned} [\mathcal{O}(n_1)]^{*c_1} * \dots * [\mathcal{O}(n_r)]^{*c_r} &= v^{\sum_{1 \leq i < j \leq r} (n_j - n_i + 1) c_i c_j} [\mathcal{O}(n_1)]^{*c_1} \dots [\mathcal{O}(n_r)]^{*c_r} \\ &= \left(\prod_{i=1}^r q^{c_i(c_i-1)/2} [c_i!] \right) v^{\sum_{1 \leq i < j \leq r} (n_j - n_i + 1) c_i c_j} [\mathcal{O}(n_1)^{\oplus c_1}] \dots [\mathcal{O}(n_r)^{\oplus c_r}] \\ &= \left(\prod_{i=1}^r q^{c_i(c_i-1)/2} [c_i!] \right) v^{\sum_{1 \leq i < j \leq r} (n_j - n_i + 1) c_i c_j} \left[\bigoplus_{i=1}^r \mathcal{O}(n_i)^{\oplus c_i} \right], \end{aligned}$$

and \square

Let $\underline{c} = (c_n)_{n \in \mathbb{Z}}$ be any sequence of non-negative integers. Then $X_{\underline{c}} = \prod_{n \in \mathbb{Z}} [\mathcal{O}(n)]^{*c_n}$.

Let \mathcal{B}_1 be the subalgebra of $H(\mathcal{A})$ generated by \mathcal{B} . Then \mathcal{B}_1 is a subalgebra of $H(\mathcal{A})$.

Proposition 17 (i) \mathcal{B}_1 is a subalgebra of $H(\mathcal{A})$.

(ii) If R is a $\tilde{\mathbb{Z}}$ -algebra containing \mathbb{Q} , then the family $(X_{\underline{c}})_{\underline{c} \in C}$ is a basis of the R -module $(\mathcal{B}_1)_{(R)}$.

(iii) The multiplication in the Ringel algebra $H(\mathcal{A})$ induces an isomorphism of $\tilde{\mathbb{Z}}$ -modules from $\mathcal{B}_1 \otimes_{\tilde{\mathbb{Z}}} H(\mathcal{A}_{\text{tor}})$ onto $H(\mathcal{A})$.

Proof. A \mathbb{Q} -algebra \mathcal{A} is defined by a \mathbb{Q} -algebra \mathcal{A}_0 and a \mathbb{Q} -algebra \mathcal{A}_1 such that $\mathcal{A} = \mathcal{A}_0 \oplus \mathcal{A}_1$. Then \mathcal{B}_1 is the subalgebra of $H(\mathcal{A})$ generated by \mathcal{B} . Let \mathcal{F}_0 and \mathcal{F}_1 be the subalgebras of \mathcal{A} defined by $\mathcal{F}_0 = \mathcal{A}_0$ and $\mathcal{F}_1 = \mathcal{A}_1$. Then \mathcal{B}_1 is the subalgebra of $H(\mathcal{A})$ generated by \mathcal{B} . \square

\square

Remark 18. A \mathbb{Z} -module S is called *symmetric* if $a \cdot b = b \cdot a$ for all $a, b \in S$. Let \mathcal{A} be a \mathbb{Z} -algebra. The symmetric part of \mathcal{A} is denoted by $\Delta(\mathcal{A}) = \sum_{(a)} a_{(1)} \otimes a_{(2)}$. The adjoint action $\text{ad} : H(\mathcal{A}_{\text{tor}}) \rightarrow \text{End}_{\mathbb{Z}}(H(\mathcal{A}))$ is defined by

$$a * x = \sum_{(a)} (\text{ad}(a_{(1)}) \cdot x) * a_{(2)},$$

where $a \in H(\mathcal{A}_{\text{tor}})$ and $x \in H(\mathcal{A})$. Let B_1 be a \mathbb{Z} -algebra. The symmetric part of B_1 is denoted by $\Delta(B_1)$. The adjoint action $\text{ad} : H(\mathcal{A}_{\text{tor}}) \rightarrow \text{End}_{\mathbb{Z}}(H(\mathcal{A}))$ is defined by

$\text{ad}(a) \cdot x = \sum_{(a)} a_{(1)} \cdot x_{(1)} \otimes a_{(2)} \cdot x_{(2)}$ for $a \in H(\mathcal{A}_{\text{tor}})$ and $x \in H(\mathcal{A})$.

Lemma 19 For $n \in \mathbb{Z}$ and $r \geq 1$, one has

$$\widehat{h}_r * [\mathcal{O}(n)] = [r+1] [\mathcal{O}(n+r)] + \sum_{s=0}^{r-1} [s+1] [\mathcal{O}(n+s)] * \widehat{h}_{r-s}.$$

Proof. Let $\widehat{E}_x(s)$ and $\widehat{H}(s)$ be defined by

$$X(t) = \sum_{n \in \mathbb{Z}} [\mathcal{O}(n)] t^n$$

and $\widehat{E}_x(-s^{\deg x}) * X(t) = \sum_{n \in \mathbb{Z}, r \geq 0} (-1)^r s^{r \deg x} t^n q_x^{r(r-1)/2} [\mathcal{O}_{(1r)[x]}] * [\mathcal{O}(n)]$

$$\begin{aligned} &= \sum_{n \in \mathbb{Z}, r \geq 0} (-1)^r s^{r \deg x} t^n q_x^{r(r-1)/2} \\ &\quad \times ([\mathcal{O}(n)] * [\mathcal{O}_{(1r)[x]}] + v^{(1-2r) \deg x} [\mathcal{O}(n + \deg x)] * [\mathcal{O}_{(1r-1)[x]}]) \\ &= X(t) * \widehat{E}_x(-s^{\deg x}) \left(1 - (s/tv)^{\deg x}\right). \end{aligned}$$

Let $\widehat{H}(s) * X(t) = X(t) * \widehat{H}(s) = \prod_{x \in \mathbb{P}^1(\mathbb{F}_q)} \frac{1}{1 - (s/tv)^{\deg x}}$,

$$\prod_{x \in \mathbb{P}^1(\mathbb{F}_q)} \frac{1}{1 - s^{\deg x}} = \frac{1}{(1-s)(1-qs)},$$

Let X be a \mathbb{Z} -algebra and $\hat{H}(s) = \sum_{r \geq 1} \hat{h}_r s^r$. Then

$$\hat{H}(s) * X(t) = X(t) * \hat{H}(s) \frac{1}{(1-s/tv)(1-sv/t)},$$

where $*$ denotes the convolution product. \square

Remark 20. Let $\psi_r \in H(\mathcal{A}_{\text{tor}})$. Then

$$\hat{Q}(s) = 1 + \sum_{r \geq 1} v^{-r} \psi_r s^r.$$

On the other hand, let $\hat{Q}(s) = \sum_{r \geq 1} \hat{q}_r s^r$. Then

$$\hat{Q}(s) * X(t) = X(t) * \hat{Q}(s) \frac{1-s/tq}{1-sq/t}.$$

Let $\hat{h}_r = \sum_{d \in D} \hat{h}_r^{d,r}$.

Let B_0 be a \mathbb{Z} -algebra and B_1 be a \mathbb{Z} -algebra. Let $H(\mathcal{A})$ be a \mathbb{Z} -algebra. Let $\hat{h}_r = (\hat{h}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{e}_r = (\hat{e}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{q}_r = (\hat{q}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\underline{d} = (d_r)_{r \geq 1}$ be a sequence of elements in \mathbb{Z} . Let $d \in D$.

$$\hat{h}_{\underline{d}} = \prod_{r \geq 1} \hat{h}_r^{d,r}, \quad \hat{e}_{\underline{d}} = \prod_{r \geq 1} \hat{e}_r^{d,r}, \quad \hat{q}_{\underline{d}} = \prod_{r \geq 1} \hat{q}_r^{d,r}.$$

Proposition 21 *Let R be a field of characteristic 0 which is also a $\tilde{\mathbb{Z}}$ -algebra.*

(i) *The algebra $B_{(R)}$ is generated by the elements $[\mathcal{O}(n)]$ for $n \in \mathbb{Z}$ and the elements \hat{h}_r for $r \geq 1$.*

(ii) *The families $(X_{\underline{c}} * \hat{h}_{\underline{d}})_{(\underline{c}, \underline{d}) \in C \times D}$, $(X_{\underline{c}} * \hat{e}_{\underline{d}})_{(\underline{c}, \underline{d}) \in C \times D}$, and $(X_{\underline{c}} * \hat{q}_{\underline{d}})_{(\underline{c}, \underline{d}) \in C \times D}$ are three bases of the R -module $B_{(R)}$.*

Proof. Let $B_1 \otimes_{\tilde{\mathbb{Z}}} B_0 \cong B_1 * B_0$. Let $\hat{h}_r = (\hat{h}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{e}_r = (\hat{e}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{q}_r = (\hat{q}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\underline{d} = (d_r)_{r \geq 1}$ be a sequence of elements in \mathbb{Z} . Let $d \in D$. Then $\hat{h}_{\underline{d}} = \prod_{r \geq 1} \hat{h}_r^{d,r}$, $\hat{e}_{\underline{d}} = \prod_{r \geq 1} \hat{e}_r^{d,r}$, and $\hat{q}_{\underline{d}} = \prod_{r \geq 1} \hat{q}_r^{d,r}$. \square

Remark 22. Let B_0 be a \mathbb{Z} -algebra and B_1 be a \mathbb{Z} -algebra. Let $H(\mathcal{A})$ be a \mathbb{Z} -algebra. Let $\hat{h}_r = (\hat{h}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{e}_r = (\hat{e}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\hat{q}_r = (\hat{q}_r)_{r \geq 1}$ be a family of elements in $H(\mathcal{A})$. Let $\underline{d} = (d_r)_{r \geq 1}$ be a sequence of elements in \mathbb{Z} . Let $d \in D$.



4 Link with the quantum affine algebra $U_q(\widehat{\mathfrak{sl}}_2)$

On a non-degenerate bilinear form $\langle \cdot, \cdot \rangle$ on $H(\mathcal{A})$, we define a non-degenerate bilinear form $\langle \cdot, \cdot \rangle$ on $U_q(\widehat{\mathfrak{sl}}_2)$ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on S by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on R by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on V^+ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on $B(R)$ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on $U_q(\widehat{\mathfrak{sl}}_2)$ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

4.1 Definition of $U_q(\widehat{\mathfrak{sl}}_2)$

Let $r \in \mathbf{Z} \setminus \{0\}$ and $n \in \mathbf{Z}$. We define $K^{\pm 1} C^{\pm 1/2}$ by

$$K K^{-1} = K^{-1} K = 1,$$

$$C^{1/2} C^{-1/2} = C^{-1/2} C^{1/2} = 1,$$

$$C^{1/2} \quad \text{is an algebra}$$

$$[K, h_r] = 0 \quad \bullet \quad r \in \mathbf{Z} \setminus \{0\}$$

$$K x_n^\pm = v^{\pm 2} x_n^\pm K \quad \bullet \quad n \in \mathbf{Z}$$

$$[h_r, h_s] = \delta_{r,-s} \frac{[2r]}{r} \frac{C^r - C^{-r}}{v - v^{-1}} \quad \bullet \quad r, s \in \mathbf{Z} \setminus \{0\}$$

$$[h_r, x_n^\pm] = \pm \frac{[2r]}{r} C^{\mp |r|/2} x_{n+r}^\pm \quad \bullet \quad n, r \in \mathbf{Z} \quad r \neq 0$$

$$x_{m+1}^\pm x_n^\pm - v^{\pm 2} x_n^\pm x_{m+1}^\pm = v^{\pm 2} x_m^\pm x_{n+1}^\pm - x_{n+1}^\pm x_m^\pm \quad \bullet \quad m, n \in \mathbf{Z} \quad 7$$

$$[x_m^+, x_n^-] = \frac{C^{(m-n)/2} \psi_{m+n}^+ - C^{(n-m)/2} \psi_{m+n}^-}{v - v^{-1}} \quad \bullet \quad m, n \in \mathbf{Z}$$

We define $\psi_{\pm r}^\pm$ by

$$\sum_{r \geq 0} \psi_{\pm r}^\pm s^{\pm r} = K^{\pm 1} \exp \left(\pm (v - v^{-1}) \sum_{r \geq 1} h_{\pm r} s^{\pm r} \right)$$

$r \geq 0$ and $r \leq -1$

and a bilinear form $\langle \cdot, \cdot \rangle$ on $U_q(\widehat{\mathfrak{sl}}_2)$ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

and a bilinear form $\langle \cdot, \cdot \rangle$ on $A_1^{(1)}$ by

$$\langle x_n^\pm, x_m^\pm \rangle = \delta_{n,m} \delta_{n \neq 0} \quad \langle x_n^\pm, x_m^\mp \rangle = 0$$

Let $V^+ = \bigoplus_{n \geq 1} U_q(\widehat{\mathfrak{sl}}_2) x_n^+ \text{ and } V^- = \bigoplus_{n \geq 1} U_q(\widehat{\mathfrak{sl}}_2) x_n^-$ be the positive and negative parts of the universal enveloping algebra of $U_q(\widehat{\mathfrak{sl}}_2)$.

Theorem 23 *The R -algebras $B(R)$ and V^+ are isomorphic.*

4.2 Structure of $U_q(\widehat{\mathfrak{sl}}_2)$

Let $S = \langle s^{\pm r} \mid r \geq 1 \rangle$ be the subalgebra of $U_q(\widehat{\mathfrak{sl}}_2)$ generated by $s^{\pm r}$.

$$1 \pm \sum_{r \geq 1} (v - v^{-1}) \tilde{\psi}_{\pm r}^{\pm} s^{\pm r} = \exp \left(\pm (v - v^{-1}) \sum_{r \geq 1} h_{\pm r} C^{\pm r/2} s^{\pm r} \right). \quad (8)$$

Let $N^{\pm} = \langle x_n^{\pm} \mid n \in \mathbf{Z} \rangle$ be the positive and negative parts of $U_q(\widehat{\mathfrak{sl}}_2)$.

- $N^{\pm} = \langle x_n^{\pm} \mid n \in \mathbf{Z} \rangle$
- $H = \langle K^{\pm 1}, C^{\pm 1/2}, h_r \mid r \in \mathbf{Z} \setminus \{0\} \rangle$
- $H^{\pm} = \langle \tilde{\psi}_{\pm r}^{\pm} \mid r \geq 1 \rangle$
- $H^0 = \langle K^{\pm 1}, C^{\pm 1/2} \rangle$

Proposition 24

- (i) The multiplication induces a linear isomorphism $N^- \otimes_R H \otimes_R N^+ \rightarrow U_q(\widehat{\mathfrak{sl}}_2)$.
- (ii) The multiplication induces a linear isomorphism $H^- \otimes_R H^0 \otimes_R H^+ \rightarrow H$.
- (iii) The generators $\tilde{\psi}_r^+$ ($r \geq 1$) of the algebra H^+ are algebraically independent.
- (iv) The family of products $(\prod_{n \in \mathbf{Z}} (x_n^+)^{c_n})_{\underline{c} \in C}$, performed in the ascending order of \mathbf{Z} , is a basis of N^+ .

Proof. Let $N^- \otimes_R H \otimes_R N^+ \rightarrow U_q(\widehat{\mathfrak{sl}}_2)$ be the multiplication map. We first show that this map is surjective. Let $y \in U_q(\widehat{\mathfrak{sl}}_2)$. We can write $y = \sum_{\underline{c} \in C} (\prod_{n \in \mathbf{Z}} (x_n^+)^{c_n}) \tilde{\psi}_{\pm r}^{\pm} s^{\pm r}$ for some $\underline{c} \in C$ and $r \geq 1$. Since $\tilde{\psi}_{\pm r}^{\pm} s^{\pm r} \in H^{\pm}$, we have $y \in N^- \otimes_R H \otimes_R N^+$. Thus, the multiplication map is surjective. Next, we show that this map is injective. Let $\sum_{\underline{c} \in C} (\prod_{n \in \mathbf{Z}} (x_n^+)^{c_n}) \tilde{\psi}_{\pm r}^{\pm} s^{\pm r} = 0$. Then, we have $\sum_{\underline{c} \in C} (\prod_{n \in \mathbf{Z}} (x_n^+)^{c_n}) \tilde{\psi}_{\pm r}^{\pm} s^{\pm r} = 0$. This implies that $\sum_{\underline{c} \in C} (\prod_{n \in \mathbf{Z}} (x_n^+)^{c_n}) \tilde{\psi}_{\pm r}^{\pm} s^{\pm r} = 0$. Thus, the multiplication map is injective. Therefore, the multiplication map is an isomorphism.

$$M(a, b, \underline{c}', \underline{c}'', \underline{d}', \underline{d}'') = \left(\prod_{n \in \mathbf{Z}} (x_{-n}^-)^{c'_n} \right) \left(\prod_{r \geq 1} (\tilde{\psi}_{-r}^-)^{d'_r} \right) K^a C^{b/2} \left(\prod_{r \geq 1} (\tilde{\psi}_r^+)^{d''_r} \right) \left(\prod_{n \in \mathbf{Z}} (x_n^+)^{c''_n} \right),$$

where $a, b \in \mathbf{Z}$, $\underline{c}', \underline{c}'' \in C$, $\underline{d}', \underline{d}'' \in D$ and $R \langle \tilde{\psi}_{\pm r}^{\pm} \mid r \geq 1 \rangle = U_q(\widehat{\mathfrak{sl}}_2)$.

Let R be a $U_q(\widehat{\mathfrak{sl}_2})$ -module. Then $T(x_n^\pm) = x_{n\mp 1}^\pm$, $T(K^{\pm 1}) = K^{\pm 1}C^{\mp 1}$, $T(C^{\pm 1/2}) = C^{\pm 1/2}$, $T(h_r) = h_r$.

Let $n, r \in \mathbf{Z}$, $r \neq 0$. Then $T(x_n^\pm) = x_{n\mp 1}^\pm$, $T(K^{\pm 1}) = K^{\pm 1}C^{\mp 1}$, $T(C^{\pm 1/2}) = C^{\pm 1/2}$, $T(h_r) = h_r$. On $U_q(\widehat{\mathfrak{sl}_2})$, we have $[x_n^\pm, x_m^\pm] = \delta_{n,m} h_n$.

$$\{M(a, b, \underline{c}', \underline{c}'', \underline{d}', \underline{d}'') \mid a, b \in \mathbf{Z}, \underline{c}', \underline{c}'' \in C, \underline{d}', \underline{d}'' \in D, n < 0 \Rightarrow c'_n = c''_n = 0\}$$

Let $(a, b, \underline{c}', \underline{c}'', \underline{d}', \underline{d}'') \in \mathbf{Z}^2 \times C^2 \times D^2$. Then $M(a, b, \underline{c}', \underline{c}'', \underline{d}', \underline{d}'')$ is a $U_q(\widehat{\mathfrak{sl}_2})$ -module. \square

Let $a, b \in H^+$. Then \tilde{P}_r is a H^+ -module for $r \geq 1$ by $\tilde{P}_r = \sum_{r \geq 1} \tilde{P}_r s^r$.

$$\tilde{P}(s) = 1 + \sum_{r \geq 1} \tilde{P}_r s^r = \exp\left(\sum_{r \geq 1} \frac{h_r C^{r/2}}{[r]} s^r\right), \quad (9)$$

$$P(s) = 1 + \sum_{r \geq 1} P_r s^r = \exp\left(-\sum_{r \geq 1} \frac{h_r C^{r/2}}{[r]} s^r\right).$$

Let $\underline{c} = (c_n)_{n \in \mathbf{Z}} \in C$ and $\underline{d} = (d_r)_{r \geq 1} \in D$.

$$x_{\underline{c}}^+ = \prod_{n \in \mathbf{Z}} (x_n^+)^{c_n}, \quad \tilde{P}_{\underline{d}} = \prod_{r \geq 1} \tilde{P}_r^{d_r}, \quad P_{\underline{d}} = \prod_{r \geq 1} P_r^{d_r}, \quad \tilde{\psi}_{\underline{d}}^+ = \prod_{r \geq 1} (\tilde{\psi}_r^+)^{d_r}.$$

Proposition 25 (i) The algebra V^+ is generated by the elements x_n^+ and \tilde{P}_r , for $n \in \mathbf{Z}$ and $r \geq 1$.

(ii) The families $(x_{\underline{c}}^+ \tilde{P}_{\underline{d}})_{(\underline{c}, \underline{d}) \in C \times D}$, $(x_{\underline{c}}^+ P_{\underline{d}})_{(\underline{c}, \underline{d}) \in C \times D}$, and $(x_{\underline{c}}^+ \tilde{\psi}_{\underline{d}}^+)_{(\underline{c}, \underline{d}) \in C \times D}$ are three bases of the R -vector space V^+ .

Proof. Let $x_n^+ \in V^+$ for $n \in \mathbf{Z}$ and $r \geq 1$. Then $\tilde{P}_r \in V^+$ for $r \geq 1$. For any $r \geq 1$, we have $\tilde{P}_r x_n^+ = [r+1] x_{n+r}^+ + \sum_{s=0}^{r-1} [s+1] x_{n+s}^+ \tilde{P}_{r-s}$.

$$\tilde{P}_r x_n^+ = [r+1] x_{n+r}^+ + \sum_{s=0}^{r-1} [s+1] x_{n+s}^+ \tilde{P}_{r-s}.$$

Any $n \in \mathbb{Z}$ any $n \in \mathbb{Z}$

$N^+ \otimes_R H^+ \otimes V^+$

$$\tilde{P}(s) P(s) = 1 \quad \text{and} \quad \frac{\tilde{P}(sv)}{\tilde{P}(s/v)} = 1 + \sum_{r \geq 1} (v - v^{-1}) \tilde{\psi}_r^+ s^r,$$

for any $r \geq 1$

$$\tilde{P}_r + \sum_{s=1}^{r-1} \tilde{P}_s P_{r-s} + P_r = 0,$$

$$[r] \tilde{P}_r = \tilde{\psi}_r^+ + \sum_{s=1}^{r-1} v^{-s} \tilde{P}_s \tilde{\psi}_{r-s}^+.$$

A H^+ a $\tilde{\psi}_r \mid r \geq 1$ $\{ \tilde{P}_r \mid r \geq 1 \}$ $\{ P_r \mid r \geq 1 \}$

$(\tilde{P}_d)_{d \in D}$ $(P_d)_{d \in D}$ $(\tilde{\psi}_d^+)_{d \in D}$ $R \times \dots$ H^+

\square

$\hat{q}_r \circ (v - v^{-1}) \tilde{\psi}_r^+$ $\mathcal{O}(n)$ x_n^+ $\hat{h}_r \circ \tilde{P}_r \hat{e}_r \circ P_r$

4.3 Concluding remarks

A H^+ $\hat{h}_r \circ r \geq 1$ H^+

- by L $[\mathcal{O}(n_1)^{\oplus c_1} \oplus \dots \oplus \mathcal{O}(n_r)^{\oplus c_r}] \circ H(A)$
- $$\left(\frac{1}{[c_1]!} [\mathcal{O}(n_1)]^{*c_1} \right) * \dots * \left(\frac{1}{[c_r]!} [\mathcal{O}(n_r)]^{*c_r} \right);$$

- by L $\hat{h}_r \circ r \geq 1$ H^+
- $H(A)$ B

P_r, \tilde{P}_r and $\tilde{\psi}_r$ are elements of $U_q(\widehat{\mathfrak{sl}}_2)$ and $U_q(\widehat{\mathfrak{sl}}_2)$ is a quantum algebra. $B(R) \rightarrow V^+$ is a map. $H(\mathcal{A}_{\{x\}})$ is a Hopf algebra. $P^1(\mathbb{F}_q)$ is a projective line over a finite field.

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▲ C n Hall algebras and quantum groups n² n a 101 ▲990 8 9▲

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▲7 C n From representations of quivers via Hall and Loewy algebras to quantum groups n⁰ n^{-d} n⁰ n na⁰ na⁰ n² n a² a^{-d} d⁰ a^{-d} n⁰
-a -a -a -a -a A a² n⁰ b⁰ ▲989 -d L A⁰ -Y L^{-d} d⁰ a^{-d} n⁰
A⁰ n⁰ C n⁰ a² y a² -a² ▲▲ d⁰ ↗ Ar⁰ -an⁰ a² -a² S⁰ d⁰
-y⁰ n⁰ d⁰ ▲99 ↗ 8▲ 0▲

▲8 C n PBW-bases of quantum groups n An ↘ a 470 ▲99 ▲88

▲9 C n Green's theorem on Hall algebras n⁰ n a⁰ n⁰ y⁰ a⁰
b a an^d a^{-d} n⁰ C y ▲99 -d a a a n^{-d} a an^d
A⁰ n⁰ C S C n⁰ n⁰ n^{-d} ▲9 Ar⁰ -an⁰ a² -a² S⁰ y⁰ n⁰
-d⁰ n⁰ ▲99 ▲8 ↗

n⁰ -d⁰ n⁰ a² a² A⁰ an⁰
n⁰ L⁰ a⁰ C S

7 n⁰ -a⁰
708 S a b⁰ C^{-d}
an⁰

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